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This file contains CAS Registry Numbers for easy and accurate substance identification.

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L106 ANSWER 1 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2006:579802 HCAPLUS Full-text

DN 145:48610

TI **Electrode** structure for lithium secondary **battery**

IN **Kawakami, Soichiro**; Morita, Akira; Ogura, Takao

PA Canon Kabushiki Kaisha, Japan

SO U.S. Pat. Appl. Publ., 30 pp.

CODEN: USXXCO

DT Patent

LA English

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|-----------------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | US 2006127773 | A1 | <u>20060615</u> | US 2005-296460 | 20051208 <-- |
| PRAI | JP 2004-358458 | A | 20041210 | | |

AB In an **electrode** structure for a lithium secondary **battery** including: a main active material layer formed from a metal **powder** selected from silicon, tin and an **alloy** thereof that can store and discharge and capable of lithium by electrochem. reaction, and a binder of an organic polymer; and a current collector, wherein the main active material layer is formed at least by a **powder** of a support material for supporting the electron conduction of the main active material layer in addition to the metal **powder** and the **powder** of the support material are **particles** having a **spherical**, pseudo-**spherical** or pillar shape with an average **particle** size of 0.3 to 1.35 times the thickness of the main active material layer. The support material is one or more materials selected from a group consisting of graphite, oxides of transition metals and metals that do not electrochem. form **alloy** with lithium. Organic polymer compounded with a conductive polymer is used for the binder. There are provided an **electrode** structure for a lithium secondary **battery** having a high capacity and a long lifetime, and a lithium secondary **battery** using the **electrode** structure and having a high capacity, a high energy d. and a long lifetime.

IT 71818-44-5
 RL: DEV (Device component use); USES (Uses)
 (electrode structure for lithium secondary battery)
 RN 71818-44-5 HCAPLUS
 CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
|-----------|------------------------------|

| | |
|----|-----------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

IT 519169-23-4P
 RL: DEV (Device component use); SPN (Synthetic preparation); PREP
 (Preparation); USES (Uses)
 (electrode structure for lithium secondary battery)
 RN 519169-23-4 HCAPLUS
 CN Silicon alloy, base, Si 65,Sn 30,Cu 5 (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
|-----------|----------------------|------------------------------|

| | | |
|----|----|-----------|
| Si | 65 | 7440-21-3 |
| Sn | 30 | 7440-31-5 |
| Cu | 5 | 7440-50-8 |

L106 ANSWER 2 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2004:1058767 HCAPLUS Full-text

DN 142:41481

TI Manufacture of **electrode** structures for secondary lithium
batteries with long cycle life

IN Kawakami, Soichiro; Kosuzu, Takeshi

PA Canon Inc., Japan

SO Jpn. Kokai Tokkyo Koho, 20 pp.

CODEN: JKXXAF

DT Patent

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| PI | JP 2004349079 | A | 20041209 | JP 2003-143824 | 20030521 <-- |
| PRAI | JP 2003-143824 | | 20030521 | | |

AB The **electrode** structures have **electrode** layers prepared from pastes (adjusted at pH 3-9) containing Si-based fine **powders**, auxiliary elec. conductors (e.g., graphite), binders (e.g., **polyvinyl alc.**, sodium CM-cellulose), and pH-controlling solns (e.g., potassium hydrogenphthalate buffer). The **electrode** structures show uniform surfaces.

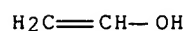
IT 803745-57-5
 RL: DEV (Device component use); USES (Uses)
 (anode; manufacture of **electrode** structures for
 secondary lithium **batteries** with long cycle life)
 RN 803745-57-5 HCAPLUS
 CN Silicon alloy, base, Si 62,Sn 33,C 4.8 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
|-----------|----------------------|------------------------------|

| | | |
|----|----|-----------|
| Si | 62 | 7440-21-3 |
| Sn | 33 | 7440-31-5 |

C 4.8 7440-44-0

IT 9002-89-5, Poly(vinyl alcohol)
 RL: DEV (Device component use); USES (Uses)
 (binder; manufacture of **electrode** structures for secondary lithium
batteries with long cycle life)
 RN 9002-89-5 HCAPLUS
 CN Ethenol, homopolymer (CA INDEX NAME)
 CM 1
 CRN 557-75-5
 CMF C2 H4 O



L106 ANSWER 3 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2004:1012099 HCAPLUS Full-text

DN 141:426308

TI Nonaqueous electrolyte secondary **battery** comprising composite
particlesIN Morigaki, Kenichi; Iwamoto, Kazuya; Koshina, Hizuru; Shimamura, Harunari;
Nitta, Yoshiaki

PA Matsushita Electric Industrial Co., Ltd., Japan

SO U.S., 19 pp., Cont.-in-part of U.S. Ser. No. 90,484.

CODEN: USXXAM

DT **Patent**

LA English

FAN.CNT 7

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|----|---------------|------|----------|-----------------|--------------|
| PI | US 6821675 | B1 | 20041123 | US 2000-601234 | 20001030 <-- |
| | US 6090505 | A | 20000718 | US 1998-90484 | 19980603 <-- |
| | JP 2000173587 | A | 20000623 | JP 1998-342885 | 19981202 <-- |
| | JP 2000173588 | A | 20000623 | JP 1998-342886 | 19981202 <-- |
| | JP 2000173607 | A | 20000623 | JP 1998-342893 | 19981202 <-- |
| | JP 2000173608 | A | 20000623 | JP 1998-342894 | 19981202 <-- |
| | WO 2000033400 | A1 | 20000608 | WO 1999-JP6686 | 19991130 <-- |

W: US

RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,
PT, SE

| | | | |
|------|----------------|----|--------------|
| PRAI | US 1998-90484 | A2 | 19980603 <-- |
| | JP 1998-342885 | A | 19981202 <-- |
| | JP 1998-342886 | A | 19981202 <-- |
| | JP 1998-342893 | A | 19981202 <-- |
| | JP 1998-342894 | A | 19981202 <-- |
| | WO 1999-JP6686 | W | 19991130 <-- |
| | JP 1997-144873 | A | 19970603 <-- |
| | JP 1998-123199 | A | 19980506 <-- |

AB A neg. **electrode** of a non-aqueous electrolyte secondary **battery** contains, as
 main a component, composite **particles** constructed in such a manner that at
 least part of the surface of nuclear **particles** comprising at least one of tin,
silicon and zinc as a constituent element, is coated with a solid solution or
 an intermetallic compound composed of elements included in the nuclear
particle and at least one element, exclusive of the element included in the
 nuclear **particle**, selected from a group of elements in a Periodic Table,

comprising group 2 elements, transition elements, group 12 elements, group 13 elements and group 14 elements exclusive of carbon. The **batteries** of the present invention include non-aqueous electrolytic solution and solid electrolytes comprising polymer gel electrolytes. The construction of the present invention provides a non-aqueous electrolytic secondary **battery** with which a possibility of the generation of gas is extremely low when stored at high temps. It also provides a **battery** having higher capacity, and superior cycle properties, high-rate charge/discharge properties.

IT 112336-35-3

RL: DEV (Device component use); USES (Uses)

(nonaq. electrolyte secondary **battery** comprising composite particles)

RN 112336-35-3 HCAPLUS

CN Tin alloy, base, Sn 81, Si 19 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 81 | 7440-31-5 |
| Si | 19 | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
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| Anon | 1991 | | | JP 03-14054 | HCAPLUS |
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| Anon | 1992 | | | JP 04-249073 | HCAPLUS |
| Anon | 1992 | | | JP 04-267053 | HCAPLUS |
| Anon | 1993 | | | JP 05-234593 | HCAPLUS |
| Anon | 1993 | | | JP 05-310418 | HCAPLUS |
| Anon | 1993 | | | JP 05-62712 | HCAPLUS |
| Anon | 1994 | | | JP 06-098473 | |
| Anon | 1994 | | | JP 06-103976 | HCAPLUS |
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| Anon | 1996 | | | EP 730316 A1 | HCAPLUS |
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| Anon | 1997 | | | JP 09-063651 | HCAPLUS |
| Anon | 1997 | | | JP 09-259857 | HCAPLUS |
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| Anon | 1998 | | | EP 0883199 | HCAPLUS |
| Anon | 1998 | | | JP 10-003947 | HCAPLUS |
| Anon | 1998 | | | JP 10-208741 | HCAPLUS |
| Anon | 1998 | | | JP 10-257687 | |
| Anon | 1998 | | | JP 10-316426 | HCAPLUS |
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| Anon | 1998 | | | JP 10-321225 | HCAPLUS |
| Anon | 1998 | | | JP 10-36120 | HCAPLUS |

| | | | | |
|--------------|------|--------|----------------------|---------|
| Anon | 1998 | | JP 10-92424 | HCAPLUS |
| Anon | 1998 | | JP 10-92424 | HCAPLUS |
| Anon | 1998 | | WO 9807729 | HCAPLUS |
| Anon | 1999 | | JP 11-135120 | HCAPLUS |
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| Rogier, A | 1996 | 90 83 | Solid State Ionics | |
| Saito | 1998 | | US 5770333 A | HCAPLUS |
| Sato | 1994 | | US 5275750 A | HCAPLUS |
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| Tahara | 1995 | | US 5395711 A | HCAPLUS |
| Thackeray | 1992 | | US 5160712 A | HCAPLUS |
| Wilson | 1996 | | US 5587256 A | HCAPLUS |
| Wilson | 1997 | | US 5624606 A | HCAPLUS |

L106 ANSWER 4 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2004:905470 HCAPLUS Full-text

DN 141:382156

TI Method of preparation of **anode** active material for rechargeable lithium **battery**

IN Sheem, Kyou-yoon; Matsubara, Keiko; Tsuno, Toshiaki; Takamuku, Akira

PA S. Korea

SO U.S. Pat. Appl. Publ., 12 pp.

CODEN: USXXCO

DT **Patent**

LA English

FAN.CNT 2

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---------------|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | US 2004214085 | A1 | 20041028 | US 2004-752300 | 20040106 <-- |
| | JP 2004214054 | A | 20040729 | JP 2003-446 | 20030106 <-- |
| | JP 3827642 | B2 | 20060927 | | |
| | KR 2004063802 | A | 20040714 | KR 2004-262 | 20040105 <-- |
| PRAI | JP 2003-446 | A | 20030106 | <-- | |
| | KR 2004-262 | A | 20040105 | | |

AB Disclosed is a neg. active material for a lithium rechargeable **battery** which includes an aggregate of Si porous **particles**, wherein the porous **particles** are formed with a plurality of voids therein, wherein the voids have an average diameter of between 1 nm and 10 μm , and the aggregate has an average **particle** size of between 1 μm and 100 μm .

IT 71818-44-5

RL: DEV (Device component use); USES (Uses)

(method of preparation of **anode** active material for rechargeable lithium **battery**)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

Component Component
Registry Number

=====+=====

Si 7440-21-3

Sn 7440-31-5

L106 ANSWER 5 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2004:824217 HCAPLUS Full-text

DN 141:334883

TI Lithium secondary **battery electrode** structure including **particles** of a solid state alloy

IN **Kawakami, Soichiro**; Asao, Masaya; Suzuki, Nobuyuki; Yamada, Yasuhiro; Ogura, Takao

PA Canon Kabushiki Kaisha, Japan

SO PCT Int. Appl., 96 pp.

CODEN: PIXXD2

DT **Patent**

LA English

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|--|----------|------------------|--------------|
| PI | WO 2004086539 | A1 | 20041007 | WO 2004-JP4071 | 20040324 <-- |
| | WO 2004086539 | B1 | 20041229 | | |
| | W: | AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW | | | |
| | RW: | BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG | | | |
| | JP 2004311429 | A | 20041104 | JP 2004-87997 | 20040324 <-- |
| | EP 1604415 | A1 | 20051214 | EP 2004-723041 | 20040324 <-- |
| | R: | AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, PL, SK | | | |
| | CN 1765024 | A | 20060426 | CN 2004-80007945 | 20040324 <-- |
| | TW 235517 | B | 20050701 | TW 2004-93108147 | 20040325 <-- |
| | US 2006040182 | A1 | 20060223 | US 2005-541222 | 20050701 <-- |
| PRAI | JP 2003-86564 | A | 20030326 | <-- | |
| | WO 2004-JP4071 | W | 20040324 | | |

AB The **electrode** material for a lithium secondary **battery** according to the present invention includes **particles** of a solid state alloy having silicon as a main component, wherein the **particles** of the solid state alloy have a microcrystal or amorphous material including an element other than silicon,

dispersed in microcryst. silicon or amorphized silicon. The solid state **alloy** preferably contains a pure metal or a solid solution. The composition of the **alloy** preferably has an element composition in which the **alloy** is completely mixed in a melted liquid state, whereby the **alloy** has a single phase in a melted liquid state without pressure of two or more phases. The element composition can be determined by the kind of elements constituting the **alloy** and an atomic ratio of the elements.

IT 91017-73-1 519169-19-8 586417-44-9, Silicon,
tin, titanium 627102-34-5 769163-49-7
769163-50-0 769163-51-1 769163-52-2
769163-53-3 769163-54-4 769163-55-5
RL: DEV (Device component use); USES (Uses)
(lithium secondary **battery electrode** structure
including **particles** of solid state **alloy**)
RN 91017-73-1 HCAPLUS
CN Silicon alloy, base, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

RN 519169-19-8 HCAPLUS
CN Silver alloy, nonbase, Ag,Si,Sn (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Ag | 7440-22-4 |
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

RN 586417-44-9 HCAPLUS
CN Silicon alloy, nonbase, Si,Sn,Ti (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |
| Ti | 7440-32-6 |

RN 627102-34-5 HCAPLUS
CN Silicon alloy, base, Si,Al,Sn (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Si | 7440-21-3 |
| Al | 7429-90-5 |
| Sn | 7440-31-5 |

RN 769163-49-7 HCAPLUS
CN Silicon alloy, base, Si,B,Sn (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Si | 7440-21-3 |

B 7440-42-8
 Sn 7440-31-5

RN 769163-50-0 HCAPLUS
 CN Silicon alloy, base, Si,Sb,Sn (9CI) (CA INDEX NAME)

Component Component
 Registry Number

=====+=====

| | |
|----|-----------|
| Si | 7440-21-3 |
| Sb | 7440-36-0 |
| Sn | 7440-31-5 |

RN 769163-51-1 HCAPLUS
 CN Silicon alloy, base, Si,B,Sb,Sn (9CI) (CA INDEX NAME)

Component Component
 Registry Number

=====+=====

| | |
|----|-----------|
| Si | 7440-21-3 |
| B | 7440-42-8 |
| Sb | 7440-36-0 |
| Sn | 7440-31-5 |

RN 769163-52-2 HCAPLUS
 CN Silicon alloy, base, Si,B,Cu,Sn (9CI) (CA INDEX NAME)

Component Component
 Registry Number

=====+=====

| | |
|----|-----------|
| Si | 7440-21-3 |
| B | 7440-42-8 |
| Cu | 7440-50-8 |
| Sn | 7440-31-5 |

RN 769163-53-3 HCAPLUS
 CN Silicon alloy, base, Si,Al,B,Sn (9CI) (CA INDEX NAME)

Component Component
 Registry Number

=====+=====

| | |
|----|-----------|
| Si | 7440-21-3 |
| Al | 7429-90-5 |
| B | 7440-42-8 |
| Sn | 7440-31-5 |

RN 769163-54-4 HCAPLUS
 CN Silicon alloy, base, Si,Al,Sb,Sn (9CI) (CA INDEX NAME)

Component Component
 Registry Number

=====+=====

| | |
|----|-----------|
| Si | 7440-21-3 |
| Al | 7429-90-5 |
| Sb | 7440-36-0 |
| Sn | 7440-31-5 |

RN 769163-55-5 HCAPLUS
 CN Silicon alloy, base, Si,Al,B,Sb,Sn (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
|-----------|------------------------------|

| | |
|----|-----------|
| Si | 7440-21-3 |
| Al | 7429-90-5 |
| B | 7440-42-8 |
| Sb | 7440-36-0 |
| Sn | 7440-31-5 |

IT 769163-57-7P, Silicon 76.2, tin 10.3, titanium 13.5 (atomic)
 769163-58-8P, Silicon 76.4, tin 20, titanium 3.6 (atomic)
 769163-59-9P, Aluminum 6.6, silicon 74, tin 19.4 (atomic)
 769163-62-4P, Aluminum 0.4, silicon 84.1, tin 11.5, titanium 4
 (atomic) 769163-63-5P, Silicon 81, tin 16.2, zinc 2.8 (atomic)
 769163-65-7P, Silicon 81.8, silver 1.1, tin 17.1 (atomic)
 769163-67-9P, Silicon 82.7, tin 11.3, titanium 4, zinc 2 (atomic)
 769163-69-1P 769163-72-6P 769163-74-8P
 769163-75-9P 769163-77-1P 769163-79-3P
 769163-81-7P

RL: DEV (Device component use); SPN (Synthetic preparation); PREP
 (Preparation); USES (Uses)

(lithium secondary **battery electrode** structure
 including **particles** of solid state alloy)

RN 769163-57-7 HCAPLUS

CN Silicon alloy, base, Si 53, Sn 30, Ti 16 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
|-----------|----------------------|------------------------------|

| | | |
|----|----|-----------|
| Si | 53 | 7440-21-3 |
| Sn | 30 | 7440-31-5 |
| Ti | 16 | 7440-32-6 |

RN 769163-58-8 HCAPLUS

CN Tin alloy, base, Sn 51, Si 46, Ti 3.7 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
|-----------|----------------------|------------------------------|

| | | |
|----|-----|-----------|
| Sn | 51 | 7440-31-5 |
| Si | 46 | 7440-21-3 |
| Ti | 3.7 | 7440-32-6 |

RN 769163-59-9 HCAPLUS

CN Tin alloy, base, Sn 51, Si 46, Al 3.9 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
|-----------|----------------------|------------------------------|

| | | |
|----|-----|-----------|
| Sn | 51 | 7440-31-5 |
| Si | 46 | 7440-21-3 |
| Al | 3.9 | 7429-90-5 |

RN 769163-62-4 HCAPLUS

CN Silicon alloy, base, Si 60, Sn 35, Ti 4.9, Al 0.3 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
|-----------|----------------------|------------------------------|

| | | |
|----|----|-----------|
| Si | 60 | 7440-21-3 |
|----|----|-----------|

| | | |
|----|-----|-----------|
| Sn | 35 | 7440-31-5 |
| Ti | 4.9 | 7440-32-6 |
| Al | 0.3 | 7429-90-5 |

RN 769163-63-5 HCAPLUS

CN Silicon alloy, base, Si 52,Sn 44,Zn 4.2 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 52 | 7440-21-3 |
| Sn | 44 | 7440-31-5 |
| Zn | 4.2 | 7440-66-6 |

RN 769163-65-7 HCAPLUS

CN Silicon alloy, base, Si 52,Sn 46,Ag 2.7 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 52 | 7440-21-3 |
| Sn | 46 | 7440-31-5 |
| Ag | 2.7 | 7440-22-4 |

RN 769163-67-9 HCAPLUS

CN Silicon alloy, base, Si 58,Sn 34,Ti 4.8,Zn 3.3 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 58 | 7440-21-3 |
| Sn | 34 | 7440-31-5 |
| Ti | 4.8 | 7440-32-6 |
| Zn | 3.3 | 7440-66-6 |

RN 769163-69-1 HCAPLUS

CN Silicon alloy, base, Si 62,Sn 36,B 2 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 62 | 7440-21-3 |
| Sn | 36 | 7440-31-5 |
| B | 2 | 7440-42-8 |

RN 769163-72-6 HCAPLUS

CN Silicon alloy, base, Si 58,Sn 34,Sb 8 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 58 | 7440-21-3 |
| Sn | 34 | 7440-31-5 |
| Sb | 8 | 7440-36-0 |

RN 769163-74-8 HCAPLUS

CN Silicon alloy, base, Si 60,Sn 35,Sb 4,B 1 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
|-----------|----------------------|------------------------------|

```

=====+=====+=====
Si          60          7440-21-3
Sn          35          7440-31-5
Sb          4           7440-36-0
B           1           7440-42-8

```

RN 769163-75-9 HCAPLUS

CN Silicon alloy, base, Si 59,Sn 34,Cu 5,B 2 (9CI) (CA INDEX NAME)

```

Component      Component      Component
          Percent      Registry Number
=====+=====+=====
Si          59          7440-21-3
Sn          34          7440-31-5
Cu          5           7440-50-8
B           2           7440-42-8

```

RN 769163-77-1 HCAPLUS

CN Silicon alloy, base, Si 59,Sn 34,Al 5,B 2 (9CI) (CA INDEX NAME)

```

Component      Component      Component
          Percent      Registry Number
=====+=====+=====
Si          59          7440-21-3
Sn          34          7440-31-5
Al          5           7429-90-5
B           2           7440-42-8

```

RN 769163-79-3 HCAPLUS

CN Silicon alloy, base, Si 56,Sn 33,Sb 7,Al 4 (9CI) (CA INDEX NAME)

```

Component      Component      Component
          Percent      Registry Number
=====+=====+=====
Si          56          7440-21-3
Sn          33          7440-31-5
Sb          7           7440-36-0
Al          4           7429-90-5

```

RN 769163-81-7 HCAPLUS

CN Silicon alloy, base, Si 58,Sn 34,Al 5,Sb 2,B 1 (9CI) (CA INDEX NAME)

```

Component      Component      Component
          Percent      Registry Number
=====+=====+=====
Si          58          7440-21-3
Sn          34          7440-31-5
Al          5           7429-90-5
Sb          2           7440-36-0
B           1           7440-42-8

```

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|----------------------------|-----------------|----------------|---------------|--------------------------|--------------------|
| Matsushita Electric Ind | 2002 | | | JP 200242805 A | |
| Mitsubishi Marerials Co | 2003 | | | JP 2003109590 A | HCAPLUS |
| Sanyo Electric Co Ltd | 2003 | | | JP 200377529 A | |

AN 2004:802390 HCAPLUS Full-text
 DN 141:280431
 TI Lithium secondary **battery**
 IN **Kawamura, Naoya; Kawakami, Soichiro**
 PA Canon Kabushiki Kaisha, Japan
 SO U.S. Pat. Appl. Publ., 17 pp.
 CODEN: USXXCO

DT **Patent**
 LA English
 FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---|------|----------|------------------|--------------|
| PI | US 2004191630 | A1 | 20040930 | US 2004-808481 | 20040325 <-- |
| | JP 2004303638 | A | 20041028 | JP 2003-96988 | 20030331 <-- |
| | TW 256158 | B | 20060601 | TW 2004-93108000 | 20040324 <-- |
| | EP 1496559 | A2 | 20050112 | EP 2004-7663 | 20040330 <-- |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, PL, SK | | | | |
| | CN 1534818 | A | 20041006 | CN 2004-10031900 | 20040331 <-- |
| | KR 2004088358 | A | 20041016 | KR 2004-22033 | 20040331 <-- |
| PRAI | JP 2003-96988 | A | 20030331 | <-- | |

AB There is provided a lithium secondary **battery** with a neg. **electrode** which comprises a neg. **electrode** active material layer comprising **alloy particles** comprising silicon and tin and having an average **particle** diameter of 0.05 to 2 μm as an active material, and a neg. **electrode** current collector, wherein the neg. **electrode** active material layer has a storage capacity of 1000 to 2200 mA-h/g and a d. of 0.9 to 1.5 g/cm³ and which thereby has a high capacity and a good cycle-characteristics. Thus, a lithium secondary **battery** having a high capacity and a long life and so designed as to exhibit these characteristics at the same time is provided.

IT **71818-44-5 760979-01-9**

RL: DEV (Device component use); USES (Uses)
 (improvement of capacity and cycle characteristics of lithium secondary **battery**)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|---------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

RN 760979-01-9 HCAPLUS

CN Silicon alloy, base, Si 80,Sn 15,Cu 5 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|-------------------|---------------------------|
| Si | 80 | 7440-21-3 |
| Sn | 15 | 7440-31-5 |
| Cu | 5 | 7440-50-8 |

IT **9002-89-5, Polyvinyl alcohol**

RL: MOA (Modifier or additive use); USES (Uses)
 (improvement of capacity and cycle characteristics of lithium secondary **battery**)

RN 9002-89-5 HCAPLUS

CN Ethenol, homopolymer (CA INDEX NAME)

CM 1

CRN 557-75-5

CMF C2 H4 O

 $\text{H}_2\text{C}=\text{CH}-\text{OH}$

L106 ANSWER 7 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2004:796471 HCAPLUS Full-text

DN 141:263470

TI **Electrode** material for lithium secondary **battery**IN Asao, Masaya; **Kawakami, Soichiro**; Ogura, Takao

PA Canon Kabushiki Kaisha, Japan

SO Eur. Pat. Appl., 31 pp.

CODEN: EPXXDW

DT **Patent**

LA English

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---|------|----------|------------------|--------------|
| PI | EP 1463131 | A1 | 20040929 | EP 2004-7392 | 20040326 <-- |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, PL, SK | | | | |
| | JP 2004311428 | A | 20041104 | JP 2004-87996 | 20040324 <-- |
| | CA 2462168 | A1 | 20040926 | CA 2004-2462168 | 20040326 <-- |
| | KR 2004085035 | A | 20041007 | KR 2004-20809 | 20040326 <-- |
| | CN 1542997 | A | 20041103 | CN 2004-10031253 | 20040326 <-- |
| | US 2004248011 | A1 | 20041209 | US 2004-809483 | 20040326 <-- |
| | TW 254473 | B | 20060501 | TW 2004-93108403 | 20040326 <-- |
| PRAI | JP 2003-86628 | A | 20030326 | <-- | |

AB There is provided an **electrode** material for a lithium secondary **battery** which comprises **alloy particles** comprising silicon as a major component and having an average **particle** diameter of 0.02-5 μm , wherein the size of a crystallite of the **alloy** is not less than 2 nm but no more than 500 nm and an intermetallic compound containing at least tin is dispersed in a silicon phase and an **electrode** material for a lithium secondary **battery** which comprises **alloy particles** comprising silicon as a major component and having an average **particle** diameter of 0.02 μm to 5 μm , wherein the size of a crystallite of the **alloy** is not less than 2 nm but no more than 500 nm and an at least one intermetallic compound containing at least one element selected from the group consisting of aluminum, zinc, indium, antimony, bismuth and lead is dispersed in a silicon phase. Thereby, an **electrode** material for a lithium secondary **battery**, an **electrode** structure comprising the **electrode** material and a secondary **battery** comprising the **electrode** structure are provided in which a drop in capacity due to repeated charging/discharging is small, and the charge/discharge cycle life is improved.

IT 71818-44-5 519169-23-4 756497-38-8
756497-39-9

RL: DEV (Device component use); USES (Uses)

(electrode material for lithium secondary **battery**)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si, Sn (CA INDEX NAME)

Component Component
Registry Number

=====+=====

Si 7440-21-3
Sn 7440-31-5

RN 519169-23-4 HCAPLUS

CN Silicon alloy, base, Si 65,Sn 30,Cu 5 (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 65 | 7440-21-3 |
| Sn | 30 | 7440-31-5 |
| Cu | 5 | 7440-50-8 |

RN 756497-38-8 HCAPLUS

CN Silicon alloy, base, Si 50,Sn 40,Co 10 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 50 | 7440-21-3 |
| Sn | 40 | 7440-31-5 |
| Co | 10 | 7440-48-4 |

RN 756497-39-9 HCAPLUS

CN Silicon alloy, base, Si 85,Sn 10,Ni 5 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 85 | 7440-21-3 |
| Sn | 10 | 7440-31-5 |
| Ni | 5 | 7440-02-0 |

L106 ANSWER 8 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2004:252059 HCAPLUS Full-text

DN 140:256344

TI **Battery anode** compositions having an elastomeric binder and an adhesion promoter

IN Christensen, Leif

PA 3M Innovative Properties Company, USA

SO U.S. Pat. Appl. Publ., 11 pp.

CODEN: USXXCO

DT **Patent**

LA English

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|----|---------------|--|----------|-----------------|--------------|
| PI | US 2004058240 | A1 | 20040325 | US 2002-251067 | 20020920 <-- |
| | CA 2498901 | A1 | 20040401 | CA 2003-2498901 | 20030820 <-- |
| | WO 2004027898 | A2 | 20040401 | WO 2003-US26138 | 20030820 <-- |
| | WO 2004027898 | A3 | 20050127 | | |
| | W: | AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW | | | |
| | RW: | GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, | | | |

KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,
 FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR,
 BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG

AU 2003258306 A1 20040408 AU 2003-258306 20030820 <--
 EP 1547171 A2 20050629 EP 2003-797859 20030820 <--

R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
 IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK

CN 1682393 A 20051012 CN 2003-822422 20030820 <--
 JP 2006500738 T 20060105 JP 2004-537679 20030820 <--

PRAI US 2002-251067 A 20020920 <--
 WO 2003-US26138 W 20030820

AB An **anode** composition is disclosed that includes an elastomeric polymer binder, a plurality of electrochem. active metal **particles** dispersed in the binder, an elec. conductive diluent, and an adhesion promoter that promotes adhesion among the **particles**, the diluent, and the binder. Also featured are lithium ion **batteries** featuring **anodes** made from these comps.

IT 71818-44-5
 RL: DEV (Device component use); USES (Uses)
 (battery anode comps. having elastomeric binder and adhesion promoter)

RN 71818-44-5 HCAPLUS
 CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

L106 ANSWER 9 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2004:135049 HCAPLUS Full-text

DN 140:342044

TI Preparation of Si composite **alloys** as **anode** material for lithium **batteries** and their lithiation/delithiation mechanism in the charge/discharge processes

AU Wada, Masashi; Atarashi, Mutsumi; Yin, Jingtian; Yoshida, Seiji; Ishihara, Kouji; Tanase, Shigeo; Sakai, Tetsuo

CS Fukuda Metal Foil & Powder Co., Ltd., 20 Nakatomi-cho Nishinoyama Yamashina-ku, Kyoto, 607-8305, Japan

SO Funtai oyobi Funmatsu Yakin (2003), 50(12), 1084-1088
 CODEN: FOFUA2; ISSN: 0532-8799

PB Funtai Funmatsu Yakin Kyokai

DT Journal

LA Japanese

AB Si-based composite **alloy powders** were prepared as **anode** materials for Li-ion **batteries** through mech. **alloying**. The Ag-Sn-Si **powders** with a size of several micrometers consisted of Si, Sn and Ag₃Sn **alloy** phases. Electrochem. expts. showed that an Ag_{36.4}Sn₄₈Si_{15.6} **electrode** had better electrochem. performance than the others with respect to reversible capacity and capacity retention. It can deliver an initial capacity of .apprx.800 A-h/kg and maintain a reversible capacity of .apprx.180 A-h/kg even after 300 cycles. The structural changes of an Ag_{36.4}Sn₄₈Si_{15.6} **electrode** during cycling were examined by XRD. The composite **alloy** consisting of Si, β -Sn and Ag₃Sn phases transforms mostly into a ternary lithiated phase during Li insertion and recovers a phase structure of Si, β -Sn, Ag₃Sn and residual Ag₂LiSn phases after Li extraction. In this lithiation/delithiation process the **alloy electrode** suffers some volumetric change which is beneficial for the improvement of cycle life. This new Ag-Sn-Si composite material may be a candidate **anode** material for Li-ion **Batteries**.

IT 437651-74-6

RL: DEV (Device component use); USES (Uses)
 (preparation of Si composite **alloys** as **anode** material
 for lithium **batteries** and their lithiation/delithiation
 mechanism in charge/discharge processes)

RN 437651-74-6 HCAPLUS

CN Tin alloy, base, Sn 91, Si 9.2 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| =====+ | =====+ | =====+ |
| Sn | 91 | 7440-31-5 |
| Si | 9.2 | 7440-21-3 |

L106 ANSWER 10 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2003:922631 HCAPLUS Full-text

DN 139:384028

TI Nonaqueous electrolyte secondary **battery**

IN Shimamura, Harunari; Nitta, Yoshiaki

PA Matsushita Electric Industrial Co., Ltd., Japan

SO U.S., 13 pp., Cont.-in-part of U.S. 6,090,505.

CODEN: USXXAM

DT **Patent**

LA English

FAN.CNT 7

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|--|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | US 6653019 | B1 | 20031125 | US 2001-719532 | 20010228 <-- |
| | US 6090505 | A | 20000718 | US 1998-90484 | 19980603 <-- |
| | JP 2001006677 | A | 20010112 | JP 2000-114799 | 20000417 <-- |
| | JP 2001006667 | A | 20010112 | JP 2000-114800 | 20000417 <-- |
| | WO 2000063986 | A1 | 20001026 | WO 2000-JP2502 | 20000418 <-- |
| | W: US | | | | |
| | RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE | | | | |
| PRAI | US 1998-90484 | A2 | 19980603 | <-- | |
| | JP 1999-112073 | A | 19990420 | <-- | |
| | JP 1999-112074 | A | 19990420 | <-- | |
| | WO 2000-JP2502 | W | 20000418 | <-- | |
| | JP 1997-144873 | A | 19970603 | <-- | |
| | JP 1998-123199 | A | 19980506 | <-- | |

AB A nonaq. electrolyte secondary **battery** using composite **particles** for its neg. **electrode** is disclosed. In the composite **particles**, nucleus **particles** including at least one element selected from tin, silicon, and zinc as their constituent element are entirely or partly covered with a solid solution or inter-metallic compound of the constituent element and at least one element selected from groups consisting of Group 2 elements, transition elements, and Group 12, Group 13, and Group 14 elements in the Periodic Table except for the constituent element of the nucleus **particles** and carbon. Further, the present invention is characterized in that the NMR signals of the lithium intercalated in the composite **particles** appear within the range of -10 to 40 ppm with respect to lithium chloride and at least one signal appears within the range of -10 to 4 ppm.

IT 112336-35-3

RL: DEV (Device component use); USES (Uses)
 (nonaq. electrolyte secondary **battery**)

RN 112336-35-3 HCAPLUS

CN Tin alloy, base, Sn 81, Si 19 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 81 | 7440-31-5 |
| Si | 19 | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|-------------------------------------|---------------|--------------|-------------|--------------------------|--------------------|
| =====+=====+=====+=====+=====+===== | | | | | |
| Abraham, K | 1990 | 137 | 1657 | Journal Electrochem | HCAPLUS |
| Anon | 1988 | | | JP 63-274058 | HCAPLUS |
| Anon | 1988 | | | JP 63-276873 | HCAPLUS |
| Anon | 1991 | | | JP 03-037964 | |
| Anon | 1991 | | | JP 03-14054 | HCAPLUS |
| Anon | 1992 | | | JP 04-206479 | HCAPLUS |
| Anon | 1992 | | | JP 04-242890 | |
| Anon | 1992 | | | JP 04-249073 | HCAPLUS |
| Anon | 1992 | | | JP 04-267053 | HCAPLUS |
| Anon | 1992 | | | JP 04-95345 | HCAPLUS |
| Anon | 1993 | | | JP 05-234593 | HCAPLUS |
| Anon | 1993 | | | JP 05-310418 | HCAPLUS |
| Anon | 1993 | | | JP 05-62712 | HCAPLUS |
| Anon | 1994 | | | JP 06-103976 | HCAPLUS |
| Anon | 1994 | | | JP 06-279049 | HCAPLUS |
| Anon | 1994 | | | JP 06-36798 | HCAPLUS |
| Anon | 1994 | | | JP 06-98473 | |
| Anon | 1994 | | | EP 0693568 | HCAPLUS |
| Anon | 1995 | | | JP 07-240201 | HCAPLUS |
| Anon | 1995 | | | JP 07-296854 | HCAPLUS |
| Anon | 1995 | | | JP 07-315822 | HCAPLUS |
| Anon | 1996 | | | EP 0730316 | HCAPLUS |
| Anon | 1996 | | | JP 08-250117 | HCAPLUS |
| Anon | 1996 | | | WO 9610538 | HCAPLUS |
| Anon | 1997 | | | JP 09-063651 | HCAPLUS |
| Anon | 1997 | | | JP 09-063651 | HCAPLUS |
| Anon | 1997 | | | JP 09-259857 | HCAPLUS |
| Anon | 1998 | | | EP 0883199 | HCAPLUS |
| Anon | 1998 | | | JP 10-208741 | HCAPLUS |
| Anon | 1998 | | | JP 10-257687 | |
| Anon | 1998 | | | JP 10-316426 | HCAPLUS |
| Anon | 1998 | | | JP 10-321225 | HCAPLUS |
| Anon | 1998 | | | JP 10-36120 | HCAPLUS |
| Anon | 1998 | | | JP 10-3947 | HCAPLUS |
| Anon | 1998 | | | JP 10-92424 | HCAPLUS |
| Anon | 1998 | | | WO 9807729 | HCAPLUS |
| Anon | 1999 | | | JP 11-135120 | HCAPLUS |
| Anon | 1999 | | | JP 11-185753 | HCAPLUS |
| Anon | 1999 | | | JP 11-297311 | HCAPLUS |
| Anon | 2000 | | | JP 2000030703 | HCAPLUS |
| Anon | 2000 | | | Japanese search repo | |
| Armand | 1981 | | | US 4303748 A | HCAPLUS |
| Armand, M | 1978 | | | Second Intl Meeting | |
| Block | 1998 | | | US 5827331 A | HCAPLUS |
| Furukawa | 1984 | | | US 4427751 A | HCAPLUS |
| Gies | 1997 | | | US 5665265 A | HCAPLUS |
| Gilbert | 1984 | | | US 4489143 A | HCAPLUS |
| Goodenough | 1981 | | | US 4302518 A | HCAPLUS |
| Gozdz | 1994 | | | US 5296318 A | HCAPLUS |
| Hubbard | 1995 | | | US 5460903 A | HCAPLUS |

| | | | | |
|--------------|------|-----|--------------------------|---------|
| Huggins | 1990 | | US 4950566 A | HCAPLUS |
| Iwamoto | 1996 | | US 5589296 A | HCAPLUS |
| Iwamoto | 1997 | | US 5677081 A | HCAPLUS |
| Kaun | 1996 | | US 5536600 A | HCAPLUS |
| Kawakami | 1998 | | US 5824434 A | HCAPLUS |
| Koyama | 1985 | | US 4495358 A | HCAPLUS |
| Maccallum, J | 1989 | 229 | Polymer Electrolyte | |
| McManis | 1986 | | US 4632889 A | HCAPLUS |
| Nishimura | 1999 | | US 5900335 A | HCAPLUS |
| North | 1992 | | US 5085952 A | HCAPLUS |
| Ogata, N | 1990 | 95 | Conductive Polymer, | |
| Ohsawa | 1993 | | US 5223353 A | HCAPLUS |
| Rogier, A | 1996 | 90 | 83090 Solid State Ionics | |
| Saito | 1998 | | US 5770333 A | HCAPLUS |
| Sato | 1994 | | US 5275750 A | HCAPLUS |
| Shimamura | 2000 | | US 6090505 A | HCAPLUS |
| Tahara | 1995 | | US 5395711 A | HCAPLUS |
| Thackeray | 1992 | | US 5160712 A | HCAPLUS |
| Wilson | 1996 | | US 5587256 A | HCAPLUS |
| Wilson | 1997 | | US 5624606 A | HCAPLUS |

L106 ANSWER 11 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2003:815403 HCAPLUS Full-text

DN 139:325950

TI Negative **electrode** for secondary electrical **battery**

IN Yamamoto, Hironori; Miyaji, Mariko; Sakauchi, Hiroshi; Mori, Mitsuhiro;
Iriyama, Jiro; Shirakata, Masato

PA NEC Corp., Japan

SO Jpn. Kokai Tokkyo Koho, 10 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---------------|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | JP 2003297341 | A | 20031017 | JP 2002-97997 | 20020329 <-- |
| PRAI | JP 2002-97997 | | 20020329 | <-- | |

AB The title **battery** is characterized by being able to eliminate the deterioration of **battery** property and loss of energy d. The **battery** comprises a neg. elec. collector, a C neg. **electrode**, a pos. elec. collector, pos. **electrode** active material containing Mn, and a separator. A Mn capture layer is coated on the C neg. **electrode** to avoid the **battery** deterioration due to Mn. The Mn capture layer consists a second layer made of silicon, Sn, and a metal element and a first layer of the metal oxide. The Mn capture layer is also capable of absorbing and releasing Li.

IT 103289-29-8, Tin silicide

RL: DEV (Device component use); USES (Uses)

(secondary elec. **battery**; neg. **electrode** having Mn
capture layer for secondary elec. **battery**)

RN 103289-29-8 HCAPLUS

CN Tin silicide (9CI) (CA INDEX NAME)

| Component | Ratio | Component |
|-----------|-------|-----------------|
| | | Registry Number |
| ===== | ===== | ===== |
| Sn | x | 7440-31-5 |
| Si | x | 7440-21-3 |

L106 ANSWER 12 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2003:801658 HCAPLUS Full-text
 DN 140:62218
 TI Large-volume-change **electrodes** for Li-ion **batteries** of amorphous **alloy particles** held by elastomeric tethers
 AU Chen, Zonghai; Christensen, L.; Dahn, J. R.
 CS Department of Chemistry, Dalhousie University, Halifax, NS, B3H 3J5, Can.
 SO Electrochemistry Communications (2003), 5(11), 919-923
 CODEN: ECCMF9; ISSN: 1388-2481
 PB Elsevier Science B.V.
 DT Journal
 LA English
 AB New **electrode** materials based on amorphous **alloys** have been proposed to replace the graphite-based **anode** materials for Li-ion **batteries**. These **alloys** undergo big reversible volume expansions as Li is added and removed electrochem. If the **alloy particles** in the **electrode** are bound to one another and to the current collector by an elastomeric binder, good capacity retention vs. cycle number, in spite of a 125% volume expansion and contraction, is possible. To obtain the required mech. properties, the elastomeric polymer binder is crosslinked and also bonded to the **electrode particles** using a surface coupling agent. A stable sp. capacity of .apprx.800 mA-h/g in a-Si_{0.64}Sn_{0.36}, corresponding to a 125% volume change, was obtained with a poly(vinylidene fluoride-tetrafluoroethylene-propylene)-based elastomeric binder system. Further optimization of the binder system is possible.
 IT 113320-53-9, Silicon 64, tin 36 (atomic)
 RL: DEV (Device component use); USES (Uses)
 (anode; volume-change silicon tin **particle**
anodes for Li-ion **batteries** bonded by elastomeric tethers)
 RN 113320-53-9 HCAPLUS
 CN Tin alloy, base, Sn 70, Si 30 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 70 | 7440-31-5 |
| Si | 30 | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|----------------------------|---------------|--------------|-------------|--------------------------|--------------------|
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| Beaulieu, L | | | | J Electrochem Soc (i | |
| Chen, Z | 2003 | 150 | A1073 | J Electrochem Soc | HCAPLUS |
| Fang, L | 2001 | 97-98 | 181 | J Power Sources | HCAPLUS |
| Green, M | 2003 | 6 | A75 | Electrochem Solid-St | HCAPLUS |
| Mao, O | 1999 | 2 | A3 | Electrochem Solid-St | |
| Sayamasa, K | 2002 | | P52 | Proceedings of the 1 | |
| Song, S | 2003 | 150 | A121 | J Electrochem Soc | HCAPLUS |
| Wang, Y | 2003 | 6 | A19 | Electrochem Solid-St | HCAPLUS |
| Yang, J | 1999 | 146 | 4009 | J Electrochem Soc | HCAPLUS |
| Yang, J | 2000 | 133 | 189 | Solid State Ionics | HCAPLUS |

L106 ANSWER 13 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2003:516237 HCAPLUS Full-text
 DN 139:263242
 TI Comparison of PVDF and PVDF-TFE-P as Binders for **Electrode** Materials Showing Large Volume Changes in Lithium-Ion **Batteries**
 AU Chen, Zonghai; Christensen, L.; Dahn, J. R.
 CS Department of Chemistry, Dalhousie University, Halifax, Nova Scotia, B3H

3J5, Can.
 SO Journal of the Electrochemical Society (2003), 150(8),
 A1073-A1078
 CODEN: JESOAN; ISSN: 0013-4651
 PB Electrochemical Society
 DT Journal
 LA English
 AB The mech. and elec. properties of a terpolymer, poly(vinylidene fluoride-tetrafluoroethylene-propylene) (PVDF-TFE-P, BRE 7131X, Dyneon Corp.) and its carbon black-filled composites (without active anode material) were studied carefully and are compared to those of PVDF (Solef 1008). High capacity anode materials such as a-Si and a-Si_{0.64}Sn_{0.36} have up to 250% volumetric changes during charge/discharge cycling which challenges the mech. properties of standard binders used in Li-ion battery electrodes. The measurements were carried out on dry polymer films and on films immersed in a nonaq. solvent commonly used in Li-ion cells (ethylene carbonate/diethyl carbonate, EC/DEC, 1:2 by volume). PVDF and its carbon-filled composites show a maximum elongation before break of <10%. However, triethylenetetramine crosslinked BRE 7131X and its carbon-filled composites can be stretched to >100% strain before breaking in air and in EC/DEC (1:2 by volume). Also, the stress and the resistivity of the carbon-filled crosslinked BRE 7131X films changes reversibly during elongation/contraction cycles.
 IT 113320-53-9
 RL: DEV (Device component use); USES (Uses)
 (composites with BRE-7131X and Super S carbon black; comparison of PVDF and PVDF-TFE-P as binders for electrode materials showing large volume changes in lithium-ion batteries)
 RN 113320-53-9 HCAPLUS
 CN Tin alloy, base, Sn 70, Si 30 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 70 | 7440-31-5 |
| Si | 30 | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|-------------------------------------|-------------------|------------------|-----------------|----------------------------|----------------------|
| =====+=====+=====+=====+=====+===== | | | | | |
| Beaulieu, L | 2001 | 4 | A137 | Electrochem Solid-St | HCAPLUS |
| Beaulieu, L | 2003 | 150 | A149 | J Electrochem Soc | HCAPLUS |
| Brousse, T | 1998 | 145 | 1 | J Electrochem Soc | HCAPLUS |
| Chen, Z | | | | J Appl Polym Sci, Su | |
| Idota, Y | 1997 | 276 | 1395 | Science | HCAPLUS |
| Mao, O | 1999 | 2 | 3 | Electrochem Solid-St | HCAPLUS |
| Sheng, P | 1978 | 40 | 1197 | Phys Rev Lett | HCAPLUS |
| Ward, I | 1998 | | | An Introduction to t | |
| Zhang, X | 2002 | 109 | 136 | J Power Sources | HCAPLUS |

L106 ANSWER 14 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2003:394219 HCAPLUS Full-text

DN 138:356272

TI Process for preparing electrode material for rechargeable lithium battery

IN Kosuzu, Takeshi; Kawakami, Soichiro; Asao, Masaya; Tsuzuki, Hidetoshi; Ogura, Takao; Kobayashi, Naoya

PA Canon Kabushiki Kaisha, Japan

SO Eur. Pat. Appl., 49 pp.

CODEN: EPXXDW

DT Patent
LA English
FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---|------|----------|------------------|--------------|
| PI | EP 1313158 | A2 | 20030521 | EP 2002-25872 | 20021119 <-- |
| | EP 1313158 | A3 | 20040908 | | |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, SK | | | | |
| | US 2003157407 | A1 | 20030821 | US 2002-300305 | 20021120 <-- |
| | US 7141187 | B2 | 20061128 | | |
| | CN 1444301 | A | 20030924 | CN 2002-154291 | 20021120 <-- |
| | TW 567633 | B | 20031221 | TW 2002-91133844 | 20021120 <-- |
| | JP 2004185810 | A | 20040702 | JP 2002-337311 | 20021120 <-- |
| | KR 2005012207 | A | 20050131 | KR 2004-112663 | 20041227 <-- |
| | US 2006237697 | A1 | 20061026 | US 2006-471689 | 20060621 <-- |
| PRAI | JP 2001-355409 | A | 20011120 | <-- | |
| | JP 2002-299677 | A | 20021011 | <-- | |
| | KR 2002-72152 | A3 | 20021120 | <-- | |
| | US 2002-300305 | A3 | 20021120 | <-- | |

AB An **electrode** material for a rechargeable lithium **battery** is characterized in that the **electrode** material comprises a fine **powder** of a silicon-based material whose principal component is silicon element, the fine **powder** having an average **particle** size (R) in a range of $0.1 \mu\text{m} \leq R < 0.5 \mu\text{m}$. An **electrode** structural body for a rechargeable lithium **battery**, has an **electrode** material layer comprising the silicon-based material fine **powder**. A rechargeable lithium **battery** has **anode** comprising the **electrode** structural body.

IT 100789-35-3 189830-88-4 519169-19-8
519169-21-2 519169-22-3

RL: DEV (Device component use); USES (Uses)
(process for preparing **anode** material for rechargeable lithium **battery**)

RN 100789-35-3 HCAPLUS

CN Copper alloy, nonbase, Cu,Si,Sn (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Cu | 7440-50-8 |
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

RN 189830-88-4 HCAPLUS

CN Nickel alloy, nonbase, Ni,Si,Sn (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Ni | 7440-02-0 |
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

RN 519169-19-8 HCAPLUS

CN Silver alloy, nonbase, Ag,Si,Sn (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Ag | 7440-22-4 |
| Si | 7440-21-3 |

Sn 7440-31-5

RN 519169-21-2 HCAPLUS

CN Cobalt alloy, nonbase, Co,Si,Sn (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Co | 7440-48-4 |
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

RN 519169-22-3 HCAPLUS

CN Silicon alloy, base, Si 50-90,Sn 9-49 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 50 - 90 | 7440-21-3 |
| Sn | 9 - 49 | 7440-31-5 |

IT 519169-23-4P

RL: DEV (Device component use); SPN (Synthetic preparation); PREP (Preparation); USES (Uses)
(process for preparing **anode** material for rechargeable lithium **battery**)

RN 519169-23-4 HCAPLUS

CN Silicon alloy, base, Si 65,Sn 30,Cu 5 (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 65 | 7440-21-3 |
| Sn | 30 | 7440-31-5 |
| Cu | 5 | 7440-50-8 |

IT 9002-89-5, Polyvinyl alcohol

RL: MOA (Modifier or additive use); USES (Uses)
(process for preparing **anode** material for rechargeable lithium **battery**)

RN 9002-89-5 HCAPLUS

CN Ethenol, homopolymer (CA INDEX NAME)

CM 1

CRN 557-75-5

CMF C2 H4 O

H₂C=CH-OH

L106 ANSWER 15 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2003:203339 HCAPLUS Full-text

DN 138:213783

TI Si-based resonant interband tunneling diodes and method of making interband tunneling diodes

IN Berger, Paul R.; Thompson, Phillip E.; Lake, Roger; Hobart, Karl; Rommel,

Sean L.

PA University of Delaware, USA

SO U.S. Pat. Appl. Publ., 37 pp., Division of U.S. Ser. No. 565,455.
CODEN: USXXCO

DT Patent

LA English

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|-----------------|------|----------|-----------------|--------------|
| PI | US 2003049894 | A1 | 20030313 | US 2001-934334 | 20010821 <-- |
| | US 6803598 | B1 | 20041012 | US 2000-565455 | 20000505 <-- |
| PRAI | US 1999-133067P | P | 19990507 | <-- | |
| | US 2000-565455 | A3 | 20000505 | <-- | |

AB Interband tunnel diodes which are compatible with Si-based processes such as, but not limited to, CMOS and Si-Ge HBT fabrication. Interband tunnel diodes are disclosed (i) with spacer layers surrounding a tunnel barrier; (ii) with a quantum well adjacent to, but not necessarily in contact with, one of the injectors, and (iii) with a 1st quantum well adjacent to, but not necessarily in contact with, the bottom injector and a 2nd quantum well adjacent to, but not necessarily in contact with, the top injector. Process parameters include temperature process for growth, deposition or conversion of the tunnel diode and subsequent thermal cycling which to improve device benchmarks such as peak c.d. and the peak-to-valley current ratio.

IT 62795-20-4

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process); USES (Uses)
(silicon-based resonant interband tunneling diodes and method of fabrication using)

RN 62795-20-4 HCAPLUS

CN Silicon alloy, base, Si 0-100, Sn 0-100 (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 0 - 100 | 7440-21-3 |
| Sn | 0 - 100 | 7440-31-5 |

L106 ANSWER 16 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2003:141752 HCAPLUS Full-text

DN 138:176418

TI Investigation into the stability of AIVBIV thin solid films

AU Korolyuk, Yu. G.; Deibuk, V. G.

CS Chernivtsi National University, Kicmany, 59300, Ukraine

SO Latvian Journal of Physics and Technical Sciences (2002), (5),
37-49

CODEN: LJPSSE; ISSN: 0868-8257

PB Latvian Journal of Physics and Technical Sciences

DT Journal

LA English

AB Structural and thermodyn. properties of IV-IV solid solns. are studied by mol. dynamics simulation. In particular, biaxial strains, which are extremely important to explain the miscibility behavior of alloy films, are examined. It is shown that there exists a critical thickness for GexSil-x, Gel-xSnx, Sil-xSnx, and Sil-xCx thin solid films. The results of the classical mol. dynamic simulations are in good agreement with exptl. data and other ab initio calcns. The layer thickness is shown to have great influence on the miscibility gap.

IT 62795-20-4

RL: PRP (Properties)

(mol. dynamics simulation of structural and thermodyn. properties of

AIVBIV thin solid films)

RN 62795-20-4 HCAPLUS

CN Silicon alloy, base, Si 0-100, Sn 0-100 (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 0 - 100 | 7440-21-3 |
| Sn | 0 - 100 | 7440-31-5 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|----------------------------|---------------|--------------|-------------|--------------------------|--------------------|
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| Bolhovitanov, Y | 2000 | 171 | | Uspehi Fizicheskikh N | |
| Cressler, J | 1998 | 46 | 572 | IEEE Trans Micro The | HCAPLUS |
| Deibuk, V | 2001 | 35 | 298 | Semiconductor | |
| Demkov, A | 1992 | 48 | 2207 | Phys Rev B | |
| Gould, H | 1988 | | | An Introduction to C | |
| Gould, H | 1990 | | | An Introduction to C | |
| Gurdal, O | 1998 | 83 | 162 | J Appl Phys | HCAPLUS |
| Iyer, S | 1991 | | 581 | MRS Symposia proceed | |
| Jain, S | 1994 | | | Germanium-Silicon St | |
| Khan, A | 1996 | 68 | 3105 | Appl Phys Lett | HCAPLUS |
| Linear, C | 1999 | 203 | 511 | J Crystal Growth | |
| Mader, K | 1989 | 69 | 1123 | Solid State Commun | |
| Mezon, U | 1968 | | | Dynamics of Lattice | |
| Pandey, R | 2000 | 88 | 6462 | J Appl Phys | HCAPLUS |
| People, R | 1984 | 45 | 1231 | Appl Phys Lett | |
| Posthil, J | 1990 | 56 | 734 | Appl Phys Lett | |
| Soma, T | 1988 | 147 | 109 | Phys Stat Sol (b) | HCAPLUS |
| Soref, R | 1991 | 69 | 539 | J Appl Phys | HCAPLUS |
| Soref, R | 1993 | 14 | 189 | Superlattices Micros | HCAPLUS |
| Stringfellow, G | 1982 | 11 | 903 | J Phys Chem Solid | HCAPLUS |
| Tersoff, J | 1989 | 39 | 5566 | Phys Rev B | |
| Walle, C | 1986 | 34 | 5621 | Phys Rev B | |

L106 ANSWER 17 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2003:97208 HCAPLUS Full-text

DN 138:156268

TI **Anode** for secondary lithium **battery** and its
manufactureIN Kajita, Osamu; Nishida, Motonori; Yamamoto, Koichi; Tanigawa, Ryuichi;
Onishi, Toshiki; Masuoka, Sachiko; Yoshinaga, Hiroshi; Sakai, TetsuoPA Fukuda Metal Foil and Powder Co., Ltd., Japan; National Institute of
Advanced Industrial Science and Technology

SO Jpn. Kokai Tokkyo Koho, 9 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| PI | JP 2003036840 | A | 20030207 | JP 2001-321626 | 20011019 <-- |
| PRAI | JP 2001-148580 | A | 20010518 | <-- | |

AB The **anode** has a Sn or Sn **alloy** active mass on 1 or both side of a Cu collector; where Cu is compatibilizing with Sn or the Sn **alloy**, forming an **alloy** phase in the interface of the collector and the active mass. The **anode** is prepared by hot dipping the Sn or Sn **alloy** active mass on 1 or both side of

the Cu collector, and heating at 200 -600° in a nonoxidizing gas atmospheric to form the alloy phase in the interface of the collector and the active mass.

IT 495504-67-1

RL: DEV (Device component use); USES (Uses)
(structure and manufacture of anodes containing alloy phase interface between Sn or Sn alloy active mass and Cu collectors for secondary Li batteries)

RN 495504-67-1 HCAPLUS

CN Tin alloy, base, Sn 99, Si 1 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 99 | 7440-31-5 |
| Si | 1 | 7440-21-3 |

L106 ANSWER 18 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2003:46133 HCAPLUS Full-text

DN 138:356096

TI The electrochemical reaction of Li with amorphous Si-Sn alloys

AU Beaulieu, L. Y.; Hewitt, K. C.; Turner, R. L.; Bonakdarpour, A.; Abdo, A. A.; Christensen, L.; Eberman, K. W.; Krause, L. J.; Dahn, J. R.

CS Department of Physics, Dalhousie University, Halifax, Nova Scotia, B3H 3J5, Can.

SO Journal of the Electrochemical Society (2003), 150(2), A149-A156

CODEN: JESOAN; ISSN: 0013-4651

PB Electrochemical Society

DT Journal

LA English

AB Si_{1-x}Sn_x samples for 0<x<0.5 were prepared by magnetron sputtering using a combinatorial materials science approach. The room-temperature resistivity and X-ray diffraction (XRD) patterns of the samples were used to select materials having both an amorphous structure and good conductivity for further study. The reaction of lithium with amorphous Si_{0.66}Sn_{0.34} was then studied by electrochem. methods and by in situ XRD. The electrode material apparently remains amorphous throughout all portions of the charge and discharge profile, in the range 0<x<4.4 in Li_xSi_{0.66}Sn_{0.34}. No crystalline phases are formed, unlike the situation when lithium reacts with tin. Using the Debye scattering formalism, we show that the XRD patterns of the a-Si_{0.66}Sn_{0.34} starting material and a-Li_{4.4}Si_{0.66}Sn_{0.34} can be explained by the same local atomic arrangements as found in crystalline Si and Li_{4.4}Si or Li_{4.4}Sn, resp. In fact, the in situ XRD patterns of a-Li_xSi_{0.66}Sn_{0.34}, for any x, can be well approximated by a linear combination of the patterns for x=0 and x=4.4. This suggests that predominantly only two local environments for Si and Sn are found at any value of x in a-Li_xSi_{0.66}Sn_{0.34}. However, based on differential capacity vs. potential results for Li/a-Si_{0.66}Sn_{0.34} there is no evidence for two-phase regions during the charge and discharge profile. Thus, the two local environments must appear at random throughout the particles. We speculate that the charge-discharge hysteresis in the voltage-capacity profile of Li/a-Li_xSi_{0.66}Sn_{0.34} cells is caused by the energy dissipated during the changes in the local atomic environment around the host atoms.

IT 112315-74-9, Silicon 60, tin 40 (atomic) 113320-53-9, Silicon 64, tin 36 (atomic) 116520-51-5, Silicon 47, tin 53 (atomic) 518302-62-0, Silicon 66, tin 34 (atomic)

RL: CPS (Chemical process); PEP (Physical, engineering or chemical process); PRP (Properties); TEM (Technical or engineered material use); PROC (Process); USES (Uses)

(battery electrodes; electrochem. reaction of Li with amorphous Si-Sn alloys for battery

electrodes)

RN 112315-74-9 HCAPLUS

CN Tin alloy, base, Sn 74,Si 26 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 74 | 7440-31-5 |
| Si | 26 | 7440-21-3 |

RN 113320-53-9 HCAPLUS

CN Tin alloy, base, Sn 70,Si 30 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 70 | 7440-31-5 |
| Si | 30 | 7440-21-3 |

RN 116520-51-5 HCAPLUS

CN Tin alloy, base, Sn 83,Si 17 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 83 | 7440-31-5 |
| Si | 17 | 7440-21-3 |

RN 518302-62-0 HCAPLUS

CN Tin alloy, base, Sn 69,Si 31 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 69 | 7440-31-5 |
| Si | 31 | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|----------------------------|---------------|--------------|-------------|--------------------------|--------------------|
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| Boukamp, B | 1981 | 128 | 725 | J Electrochem Soc | HCAPLUS |
| Courtney, I | 1998 | 58 | 23 | Phys Rev B | |
| Dahn, J | 1998 | 111 | 289 | Solid State Ionics | HCAPLUS |
| Kittel, C | 1996 | | | Introduction to Soli | |
| Maruyama, T | 1997 | 144 | 4350 | J Electrochem Soc | HCAPLUS |
| Richard, M | 1997 | 144 | 554 | J Electrochem Soc | HCAPLUS |
| Turner, R | 2000 | | | WO 00/03444 | HCAPLUS |
| Winter, M | 2000 | 45 | 31 | Electrochim Acta | |

L106 ANSWER 19 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2002:795980 HCAPLUS Full-text

DN 138:29599

TI Measuring thickness changes in thin films due to chemical reaction by monitoring the surface roughness with in situ atomic force microscopy

AU Beaulieu, L. Y.; Rutenberg, A. D.; Dahn, J. R.

CS Physics Department, Dalhousie University, Halifax, NS, B3H 3J5, Can.

SO Microscopy and Microanalysis (2002), 8(5), 422-428

CODEN: MIMIF7; ISSN: 1431-9276

PB Cambridge University Press
 DT Journal
 LA English
 AB Measuring the changing thickness of a thin film, without a reference, using an atomic force microscope (AFM) is problematic. Here, we report a method for measuring film thickness based on in situ monitoring of surface roughness of films as their thickness changes. For example, in situ AFM roughness measurements have been performed on **alloy** film **electrodes** on rigid substrates as they react with lithium electrochem. The addition (or removal) of lithium to (or from) the **alloy** causes the latter to expand (or contract) reversibly in the direction perpendicular to the substrate and, in principle, the change in the overall height of these materials is directly proportional to the change in roughness. If the substrate on which the film is deposited is not perfectly smooth, a correction to the direct proportionality is needed and this is also discussed.
 IT 122168-06-3, Silicon 70, tin 30 (atomic)
 RL: CPS (Chemical process); PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process)
 (films; measuring thickness changes in thin films due to chemical reaction by monitoring the surface roughness with in situ atomic force microscopy)
 RN 122168-06-3 HCAPLUS
 CN Tin alloy, base, Sn 64,Si 36 (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 64 | 7440-31-5 |
| Si | 36 | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|-------------------------------|---------------|--------------|-------------|--------------------------|--------------------|
| =====+=====+=====+=====+===== | | | | | |
| Beaulieu, L | 2001 | 4 | A137 | Electrochem Solid St | HCAPLUS |
| Beaulieu, L | 2000 | 147 | 3206 | J Electrochem Soc | HCAPLUS |
| Beaulieu, L | 2001 | 72 | 3313 | Rev Sci Instrum | HCAPLUS |
| Dongmo, S | 1998 | 66 | S819 | Appl Phys A | HCAPLUS |
| Groisman, A | 1994 | 25 | 415 | Europhys Lett | HCAPLUS |
| Haering, P | 1995 | 385 | 273 | J Electroanal Chem | HCAPLUS |
| Idota, Y | 1997 | 276 | 1395 | Science | HCAPLUS |
| Kitsunezaki, S | 1999 | 60 | 6449 | Phys Rev E | HCAPLUS |
| Kowal, A | 1996 | 12 | 2332 | Langmuir | HCAPLUS |
| Manne, S | 1991 | 251 | 183 | Science | HCAPLUS |
| Mao, O | 1999 | 146 | 405 | J Electrochem Soc | HCAPLUS |
| Quate, C | 1994 | 299 | 980 | Surf Sci | |
| Turner, R | 2000 | | | World Intellectual P | |
| Yang, J | 1996 | 90 | 281 | Solid State Ionics | HCAPLUS |

L106 ANSWER 20 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2002:158133 HCAPLUS Full-text

DN 136:209112

TI Doped elongated semiconductors, growing such semiconductors, devices including such semiconductors, and fabricating such devices

IN Lieber, Charles M.; Cui, Ying; Duan, Xiangfeng; Huang, Yung-Sheng

PA President and Fellows of Harvard College, USA

SO PCT Int. Appl., 173 pp.

CODEN: PIXXD2

DT **Patent**

LA English

FAN.CNT 3

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---|------|----------|-----------------|--------------|
| PI | WO 2002017362 | A2 | 20020228 | WO 2001-US26298 | 20010822 <-- |
| | WO 2002017362 | A8 | 20021121 | | |
| | W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW | | | | |
| | RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG | | | | |
| | CA 2417992 | A1 | 20020228 | CA 2001-2417992 | 20010822 <-- |
| | AU 200186649 | A | 20020304 | AU 2001-86649 | 20010822 <-- |
| | US 2002130311 | A1 | 20020919 | US 2001-935776 | 20010822 <-- |
| | EP 1314189 | A2 | 20030528 | EP 2001-966109 | 20010822 <-- |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR | | | | |
| | JP 2004507104 | T | 20040304 | JP 2002-521336 | 20010822 <-- |
| | CN 1550030 | A | 20041124 | CN 2001-816168 | 20010822 <-- |
| | EP 1736760 | A2 | 20061227 | EP 2006-121157 | 20011211 <-- |
| | R: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LI, LU, MC, NL, PT, SE, TR, AL, BA, HR, MK, YU | | | | |
| | AU 2002324426 | A1 | 20030121 | AU 2002-324426 | 20020520 <-- |
| | JP 2004535066 | T | 20041118 | JP 2003-511316 | 20020520 <-- |
| | US 2006054936 | A1 | 20060316 | US 2004-12549 | 20041215 <-- |
| | US 2005164432 | A1 | 20050728 | US 2005-82372 | 20050317 <-- |
| | US 7211464 | B2 | 20070501 | | |
| | US 2006175601 | A1 | 20060810 | US 2005-172408 | 20050630 <-- |
| | US 2007026645 | A1 | 20070201 | US 2006-543326 | 20061004 <-- |
| | US 2007032051 | A1 | 20070208 | US 2006-543336 | 20061004 <-- |
| | US 2007032023 | A1 | 20070208 | US 2006-543352 | 20061004 <-- |
| | US 2007032052 | A1 | 20070208 | US 2006-543746 | 20061004 <-- |
| | US 2007048492 | A1 | 20070301 | US 2006-543337 | 20061004 <-- |
| PRAI | US 2000-226835P | P | 20000822 | <-- | |
| | US 2000-254745P | P | 20001211 | <-- | |
| | US 2001-291896P | P | 20010518 | <-- | |
| | US 2001-292035P | P | 20010518 | <-- | |
| | US 2001-292045P | P | 20010518 | <-- | |
| | US 2001-292121P | P | 20010518 | <-- | |
| | US 2001-935776 | A | 20010822 | <-- | |
| | WO 2001-US26298 | W | 20010822 | <-- | |
| | US 2001-348313P | P | 20011109 | <-- | |
| | EP 2001-990181 | A3 | 20011211 | <-- | |
| | US 2001-20004 | A | 20011211 | <-- | |
| | US 2002-354642P | P | 20020206 | <-- | |
| | US 2002-152490 | B2 | 20020520 | <-- | |
| | WO 2002-US16133 | W | 20020520 | <-- | |
| | US 2002-196337 | A1 | 20020716 | <-- | |
| | US 2003-720020 | B1 | 20031121 | | |
| | US 2005-58443 | B1 | 20050214 | | |
| | US 2005-82372 | A1 | 20050317 | | |

AB A bulk-doped semiconductor that is at least one of the following: a single crystal, an elongated and bulk-doped semiconductor that, at any point along its longitudinal axis, has a largest cross-sectional dimension <500 nm, and a free-standing and bulk-doped semiconductor with at least one portion having a smallest width of <500 nm. Such a semiconductor may comprise an interior core comprising a 1st semiconductor; and an exterior shell comprising a different material than the 1st semiconductor. Such a semiconductor may be elongated

and may have, at any point along a longitudinal section of such a semiconductor, a ratio of the length of the section to a longest width which is >4:1, or >10:1, or >100:1, or even >1000:1. At least one portion of such a semiconductor may have a smallest width of <200 nm, or <150 nm, or <100 nm, or <80 nm, or <70 nm, or <60 nm, or <40 nm, or <20 nm, or <10 nm, or even <5 nm. Such a semiconductor may be a single crystal and may be free-standing. Such a semiconductor may be either lightly n-doped, heavily n-doped, lightly p-doped or heavily p-doped. Such a semiconductor may be doped during growth. Such a semiconductor may be part of a device, which may include any of a variety of devices and combinations thereof, and a variety of assembling techniques may be used to fabricate devices from such a semiconductor. Two or more of such a semiconductors, including an array of such semiconductors, may be combined to form devices, for example, to form a crossed p-n junction of a device. Such devices at certain sizes may exhibit quantum confinement and other quantum phenomena, and the wavelength of light emitted from one or more of such semiconductors may be controlled by selecting a width of such semiconductors. Such semiconductors and device made therefrom may be used for a variety of applications.

IT 71818-44-5

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); PYP (Physical process); TEM (Technical or engineered material use); PROC (Process); USES (Uses)

(preparation of elongated doped semiconductor for devices)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si, Sn (CA INDEX NAME)

Component Component
Registry Number

=====+=====

| | |
|----|-----------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

L106 ANSWER 21 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2001:796591 HCAPLUS Full-text

DN 135:346872

TI **Anode** active mass for secondary nonaqueous electrolyte
batteries and its manufacture

IN Takeshita, Yukiteru; Kamishiro, Koichi; Negi, Noriyuki; Uenaka, Hideya;
Kohiyori, Motoji; Nitta, Yoshiaki; Shimamura, Harushige; Okamura, Kazuhiro
PA Sumitomo Metal Industries, Ltd., Japan; Matsushita Electric Industrial
Co., Ltd.

SO Jpn. Kokai Tokkyo Koho, 8 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | JP 2001307723 | A | 20011102 | JP 2000-118648 | 20000419 <-- |
| PRAI | JP 2000-118648 | | 20000419 | <-- | |

AB The **anode** active mass contains an **alloy** having a 1st group of phases of elements, capable of reversibly bonding with Li, and a 2nd group of phases containing ≥ 1 element in the 1st group and ≥ 1 Group IIA, IIIA, IVA and transition metals, and contains Li added before the solidification of the **alloy**. The active mass is prepared by adding a Li source to a melt of the **alloy** components and solidifying the **alloy**.

IT 71818-44-5

RL: MSC (Miscellaneous)

(structure and manufacture of multiphase lithium alloying)

anode active mass for secondary lithium **batteries**)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

=====+=====

L106 ANSWER 22 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2001:780558 HCAPLUS Full-text

DN 135:346844

TI **Anode** active mass for secondary nonaqueous **batteries**
and its manufacture

IN Takeshita, Yukiteru; Negi, Noriyuki; Yamamoto, Hiroyoshi; Kohiyori,
Motoji; Yonemura, Koji; Nitta, Yoshiaki; Shimamura, Harushige

PA Sumitomo Metal Industries, Ltd., Japan; Matsushita Electric Industrial
Co., Ltd.

SO Jpn. Kokai Tokkyo Koho, 16 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| PI | JP 2001297757 | A | 20011026 | JP 2000-113912 | 20000414 <-- |
| PRAI | JP 2000-113912 | | 20000414 | <-- | |

AB The **anode** active mass has a 1st part containing ≥ 1 Li intercalating metal (M) phase, and a 2nd part containing ≥ 1 phases of intermetallic compds. or solid solns. of M with > 1 non-M elements selected from Group 2, transition metal, and Group 13-15 elements or the non-M element alone; where a portion of the 2nd part has a granular and/or an acicular structure, and a portion of the 2nd part is surrounded by a layered structure of the 2 parts or by the 1st part or the 1st part in a fine granular structure. The **anode** active mass is prepared by a rapidly solidifying melted composition at $\geq 100^\circ/\text{s}$.

IT **158616-16-1P**, Tin silicide (SnSi_2)

RL: DEV (Device component use); IMF (Industrial manufacture); PRP
(Properties); PREP (Preparation); USES (Uses)

(comps. and structure and manufacture of multiphase **anode** active
mass for secondary lithium **batteries**)

RN 158616-16-1 HCAPLUS

CN Tin silicide (SnSi_2) (9CI) (CA INDEX NAME)

| Component | Ratio | Component Registry Number |
|-----------|-------|------------------------------|
| Sn | 1 | 7440-31-5 |
| Si | 2 | 7440-21-3 |

L106 ANSWER 23 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2001:773655 HCAPLUS Full-text

DN 136:93979

TI Direct energy gap group IV semiconductor **alloys** and quantum dot
arrays in $\text{Sn}_x\text{Ge}_{1-x}/\text{Ge}$ and $\text{Sn}_x\text{Si}_{1-x}/\text{Si}$ **alloy** systems

AU Ragan, Regina; Min, Kyu S.; Atwater, Harry A.

CS Thomas J. Watson Laboratory of Applied Physics, California Institute of

Technology, Pasadena, CA, 91125, USA

SO Materials Science & Engineering, B: Solid-State Materials for Advanced
Technology (2001), B87(3), 204-213
CODEN: MSBTEK; ISSN: 0921-5107

PB Elsevier Science S.A.

DT Journal

LA English

AB The narrow gap semiconductor **alloys** SnxGel-x and SnxSil-x offer the possibility for engineering tunable direct energy gap Group IV semiconductor materials. For pseudomorphic SnxGel-x **alloys** grown on Ge (001) by MBE, an indirect-to-direct bandgap transition with increasing Sn composition is observed, and the effects of misfit on the bandgap analyzed in terms of a deformation potential model. Key results are that pseudomorphic strain has only a very slight effect on the energy gap of SnxGel-x **alloys** grown on Ge (001) but for SnxGel-x **alloys** grown on Ge (111) no indirect-to-direct gap transition is expected. In the SnxSil-x system, ultrathin pseudomorphic epitaxially-stabilized α -SnxSil-x **alloys** are grown on Si (001) substrates by conventional MBE. Coherently strained α -Sn quantum dots are formed within a defect-free Si (001) crystal by phase separation of the thin SnxSil-x layers embedded in Si (001). Phase separation of the thin **alloy** film, and subsequent evolution occurs via growth and coarsening of regularly-shaped α -Sn quantum dots that appear as 4-6 nm diameter tetrakaidecahedra with facets oriented along elastically soft <100> directions. Attenuated total reflectance IR absorption measurements indicate an absorption feature due to the α -Sn quantum dot array with onset at .apprx.0.3 eV and absorption strength of $8 + 10^3 \text{ cm}^{-1}$, which are consistent with direct interband transitions.

IT 71818-44-5P

RL: DEV (Device component use); PNU (Preparation, unclassified); TEM
(Technical or engineered material use); PREP (Preparation); USES (Uses)
(direct energy gap group IV semiconductor **alloys** and quantum
dot arrays in SnxGel-x/Ge and SnxSil-x/Si **alloy** systems)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|----------------------------|---------------|--------------|-------------|--------------------------|--------------------|
| Apetz, R | 1995 | 66 | 445 | Appl Phys Lett | HCAPLUS |
| Bardeen, J | 1950 | 80 | 72 | Phys Rev | HCAPLUS |
| Eaglesham, D | 1993 | 70 | 1643 | Phys Rev Lett | HCAPLUS |
| Hasegawa, H | 1963 | 129 | 1029 | Phys Rev | |
| He, G | 1997 | 79 | 1937 | Phys Rev Lett | HCAPLUS |
| Herring, C | 1956 | 101 | 944 | Phys Rev | HCAPLUS |
| Jenkins, D | 1987 | 36 | 7994 | Phys Rev B | HCAPLUS |
| Kang, N | 1998 | 67 | 2439 | J Phys Soc Jpn | HCAPLUS |
| Kleiner, W | 1959 | 2 | 334 | Phys Rev Lett | HCAPLUS |
| Krishnamurty, M | 1991 | 69 | 6461 | J Appl Phys | |
| Min, K | 1998 | 72 | 1884 | Appl Phys Lett | HCAPLUS |
| People, R | 1985 | 32 | 1405 | Phys Rev | HCAPLUS |
| Pikus, G | 1959 | 1 | 1642 | Fiz Tverd Tela | HCAPLUS |
| Pikus, G | 1960 | 1 | 1502 | Sov Phys Solid State | |
| Pollak, F | 1968 | 172 | 816 | Phys Rev | HCAPLUS |

| | | | | | |
|------------------|------|-----|------|-----------------------|---------|
| Ragan, R | 2000 | 77 | 3418 | Appl Phys Lett | HCAPLUS |
| Soref, R | 1991 | 69 | 539 | J Appl Phys | HCAPLUS |
| Sunamura, H | 1995 | 66 | 3024 | Appl Phys Lett | HCAPLUS |
| Swalin, R | 1972 | | 141 | Thermodynamics of Sol | |
| Wegscheider, W | 1992 | 123 | 75 | J Cryst Growth | HCAPLUS |
| Zinke-Allmang, M | 1992 | 16 | 377 | Sur Sci Rep | HCAPLUS |

L106 ANSWER 24 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2001:763375 HCAPLUS Full-text

DN 135:320488

TI Secondary nonaqueous electrolyte **batteries**IN Nitta, Yoshiaki; Bito, Yasuhiko; Sato, Toshitada; Okamura, Kazuhiro;
Shimamura, Harunari

PA Matsushita Electric Industrial Co., Ltd., Japan

SO PCT Int. Appl., 34 pp.

CODEN: PIXXD2

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | WO 2001078167 | A1 | 20011018 | WO 2001-JP2842 | 20010330 <-- |
| | W: CN, KR, US | | | | |
| | RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR | | | | |
| | JP 2001291512 | A | 20011019 | JP 2000-103039 | 20000405 <-- |
| | EP 1274140 | A1 | 20030108 | EP 2001-917771 | 20010330 <-- |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, FI, CY, TR | | | | |
| | US 2003039891 | A1 | 20030227 | US 2002-129240 | 20020501 <-- |
| PRAI | JP 2000-103039 | A | 20000405 | <-- | |
| | WO 2001-JP2842 | W | 20010330 | <-- | |

AB The **batteries** have a nonaq. electrolyte solution, separators, Li intercalating **cathodes**, and Li intercalating **anodes**; where the **anode** active mass **particles** have a core of a 1st solid phase containing Si, Sn, and/or Zn, a shell of a 2nd solid phase of a solid solution or an intermetallic compound of the 1st phase component and ≥ 1 of Si, Sn, Zn, and Group 2-14 elements other than C, with the 1st and/or 2nd phase being amorphous.

IT 71818-44-5

RL: DEV (Device component use); USES (Uses)

(anode active mass **particles** with intermetalliccompound or solid solution shells for secondary lithium **batteries**)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si, Sn (CA INDEX NAME)

| | |
|-----------|-----------------|
| Component | Component |
| | Registry Number |

=====+=====

Si 7440-21-3

Sn 7440-31-5

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|----------------------------|---------------|--------------|-------------|--------------------------|--------------------|
| ===== | + | + | + | + | + |
| Asahi Chemical Industry | 1998 | | | JP 10223221 A | HCAPLUS |
| Hitachi Maxell Ltd | 1988 | | | JP 6313267 A | |
| Matsushita Electric Ind | 2000 | | | JP 200030703 A | |
| Matsushita Electric Ind | 2001 | | | JP 2001102052 A | HCAPLUS |
| Mitsubishi Cable Indust | 1995 | | | JP 07296812 A | HCAPLUS |

L106 ANSWER 25 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2001:729929 HCAPLUS Full-text

DN 135:275368

TI Material for **cathode** of nonaqueous electrolyte secondary **battery**

IN Tsujimoto, Hisashi; Yamamoto, Yoshikatsu; Kuyama, Junji; Nagamine, Masayuki; Omaru, Atsuo; Tanizaki, Hiroaki

PA Sony Corp., Japan

SO Eur. Pat. Appl., 19 pp.

CODEN: EPXXDW

DT **Patent**

LA English

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---|------|----------|------------------|--------------|
| PI | EP 1139468 | A1 | 20011004 | EP 2001-108038 | 20010329 <-- |
| | EP 1139468 | B1 | 20040519 | | |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO | | | | |
| | JP 2001345101 | A | 20011214 | JP 2001-56346 | 20010301 <-- |
| | TW 492212 | B | 20020621 | TW 2001-90107405 | 20010328 <-- |
| | CN 1320980 | A | 20011107 | CN 2001-117869 | 20010330 <-- |
| | US 2002012842 | A1 | 20020131 | US 2001-822926 | 20010330 <-- |
| | US 6884543 | B2 | 20050426 | | |
| | US 2005191551 | A1 | 20050901 | US 2005-113771 | 20050425 <-- |
| | US 7045251 | B2 | 20060516 | | |
| PRAI | JP 2000-93378 | A | 20000330 | <-- | |
| | JP 2001-56346 | A | 20010301 | <-- | |
| | US 2001-822926 | A1 | 20010330 | <-- | |

AB Disclosed is a nonaq. electrolyte secondary **battery** having an excellent preservation characteristics at a high temperature and charging/discharging cycle characteristics. A rolled body in which a strip-shape pos. **electrode** and neg. **electrode** are rolled with a separator in-between is provided inside a **battery** can. The pos. **electrode** contains $\text{Li}x\text{Mn}2-y\text{MayO}4$ (where, Ma is at least one element selected from the group consisting of metal elements other than Mn, and B) and $\text{LiNi}1-z\text{Mb}z\text{O}2$ (where, Mb is at least one element selected from the group consisting of metal elements other than Ni, and B). By replacing part of Mn and Ni with other elements, the crystal structure can be stabilized. Thereby, the capacity retention ratio after preservation at a high temperature, and a heavy load discharging power under a high elec. potential cutoff can be improved. The mean **particle** size of **particles** of the above-mentioned oxides are preferable to be 30 μm and below so that an excellent charging/discharging cycle characteristic can be obtained.

IT 71818-44-5

RL: DEV (Device component use); USES (Uses)

(material for **cathode** of nonaq. electrolyte secondary **battery**)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|---------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|-------------------------|------------|-----------|----------|-----------------------|-----------------|
| | | | | | |

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=====+=====+=====+=====+=====+=====
Koksbang, R      |1999 |      |      |WO 9953556 A      |HCAPLUS
Koksbang, R      |1999 |      |      |WO 9959214 A      |HCAPLUS
Pynenburg, R     |1995 |      |      |US 5429890 A      |HCAPLUS

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L106 ANSWER 26 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2001:692228 HCAPLUS Full-text

DN 135:259779

TI Silicon-tin-based **alloy** for **battery anode**,
its manufacture by rapid cooling, and nonaqueous electrolyte secondary
battery using it

IN Shimamura, Harushige; Nitta, Yoshiaki; Negi, Noriyuki; Uenaka, Hideya

PA Matsushita Electric Industrial Co., Ltd., Japan; Sumitomo Metal
Industries, Ltd.

SO Jpn. Kokai Tokkyo Koho, 12 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---------------|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | JP 2001256974 | A | 20010921 | JP 2000-65572 | 20000309 <-- |
| | JP 3546798 | B2 | 20040728 | | |
| PRAI | JP 2000-65572 | | 20000309 | <-- | |

AB The **alloy**, whose surface oxide film is removed, comprises (1) an A phase containing Si and/or Si surrounded with a B phase containing intermetallic compds. or solid solns. of Si or Sn with ≥ 1 other element selected from Group 2A, 3B-2B transition metal, 3A, 4A except C, and 5A elements on the long-form periodic table or (2) a Si phase surrounded with a Sn phase. The **alloy** is manufactured by (1) cooling a Si-Sn molten **alloy** at ≥ 100 degree/s, followed by immersing in an aqueous acidic solution. The **battery** uses the above **alloy** as an **anode**. The **battery** shows high discharge capacity, energy-conversion efficiency, and long cycle life.

IT 113320-53-9 186143-06-6 253344-64-8

RL: DEV (Device component use); PEP (Physical, engineering or chemical process); TEM (Technical or engineered material use); PROC (Process); USES (Uses)

(manufacture of silicon-tin-based **alloy** for nonaq. electrolyte secondary **battery anode** by rapid cooling)

RN 113320-53-9 HCAPLUS

CN Tin alloy, base, Sn 70, Si 30 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| ===== | ===== | ===== |
| Sn | 70 | 7440-31-5 |
| Si | 30 | 7440-21-3 |

RN 186143-06-6 HCAPLUS

CN Silicon alloy, base, Si 70, Sn 30 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| ===== | ===== | ===== |
| Si | 70 | 7440-21-3 |
| Sn | 30 | 7440-31-5 |

RN 253344-64-8 HCAPLUS

CN Tin alloy, base, Sn 90, Si 10 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 90 | 7440-31-5 |
| Si | 10 | 7440-21-3 |

L106 ANSWER 27 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2001:668291 HCAPLUS Full-text

DN 135:213486

TI Process for producing photoelectric conversion device

IN Sakakura, Masayuki; Arai, Yasuyuki; Yamazaki, Shunpei

PA Semiconductor Energy Laboratory Co., Ltd., Japan

SO U.S., 35 pp.

CODEN: USXXAM

DT **Patent**

LA English

FAN.CNT 4

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | US 6287888 | B1 | 20010911 | US 1998-219722 | 19981223 <-- |
| | JP 11195800 | A | 19990721 | JP 1997-369413 | 19971226 <-- |
| | JP 11204817 | A | 19990730 | JP 1998-18097 | 19980112 <-- |
| | JP 3490278 | B2 | 20040126 | | |
| | JP 11204812 | A | 19990730 | JP 1998-18099 | 19980112 <-- |
| | JP 11204813 | A | 19990730 | JP 1998-18100 | 19980112 <-- |
| | US 2002000631 | A1 | 20020103 | US 2001-939768 | 20010828 <-- |
| | US 6531711 | B2 | 20030311 | | |
| PRAI | JP 1997-369413 | A | 19971226 | <-- | |
| | JP 1998-18097 | A | 19980112 | <-- | |
| | JP 1998-18099 | A | 19980112 | <-- | |
| | JP 1998-18100 | A | 19980112 | <-- | |
| | US 1998-219722 | A3 | 19981223 | <-- | |

AB The productivity of a photoelec. conversion device is increased by sep. conducting a step of forming a microcryst. semiconductor film and an amorphous semiconductor film without adding an impurity gas. In a process for producing a photoelec. conversion device comprising a substrate having thereon one or plural unit cells comprising a first **electrode**, a photoelec. conversion layer, and a second **electrode** laminated with each other, the photoelec. conversion device is produced by conducting a step of forming a first **electrode**, a step of forming a first microcryst. semiconductor film without adding an n type or p type conductive type determining impurity element, a step of forming a substantially intrinsic amorphous semiconductor film, and a step of forming a second microcryst. semiconductor film without adding an n type or p type conductive type determining impurity element, by a plasma CVD method, and after the step of for forming the second **electrode**, conducting a step of injecting a p type conductive type determining impurity element from the surface of the second **electrode** to the second microcryst. semiconductor film, followed by heating.

IT 71818-44-5P

RL: DEV (Device component use); SPN (Synthetic preparation); PREP (Preparation); USES (Uses)
(process for producing photoelec. conversion device)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-------------|------------------------------|
| =====+===== | |
| Si | 7440-21-3 |

Sn 7440-31-5

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|----------------------------|---------------|--------------|-------------|--------------------------|--------------------|
| Hudgens | 1988 | | | US 4737379 | HCAPLUS |
| Ishihara | 1985 | | | US 4492605 | HCAPLUS |
| Izu | 1983 | | | US 4410558 | HCAPLUS |
| Izu | 1985 | | | US 4519339 | HCAPLUS |
| Masayuki, S | 1999 | 1 | | Method and Apparatus | |
| Matsuyama | 1998 | | | US 5716480 | HCAPLUS |
| Shinohara | 1998 | | | US 5736431 | HCAPLUS |
| Takenouchi | 1995 | | | US 5427961 | HCAPLUS |
| Yamazaki | 1992 | | | US 5164322 | HCAPLUS |
| Yang | 1986 | | | US 4624862 | HCAPLUS |

L106 ANSWER 28 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2001:655021 HCAPLUS Full-text

DN 135:229341

TI Nonaqueous electrolyte secondary **batteries** with excellent cycle characteristics and high discharge capacity

IN Nakamoto, Takayuki; Nitta, Yoshiaki; Shimamura, Harushige; Negi, Noriyuki; Yamamoto, Hiroyoshi; Takeshita, Yukiteru; Yonemura, Koji

PA Matsushita Electric Industrial Co., Ltd., Japan; Sumitomo Metal Industries, Ltd.

SO Jpn. Kokai Tokkyo Koho, 12 pp.

CODEN: JKXXAF

DT Patent

LA Japanese

FAN.CNT 1

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|--------------------|------|--------------|-----------------|--------------|
| JP 2001243946 | A | 20010907 | JP 2000-53317 | 20000229 <-- |
| JP 3882447 | B2 | 20070214 | | |
| PRAI JP 2000-53317 | | 20000229 <-- | | |

AB The **anodes** of the **batteries** include composite **particles** consisting of (A) a core **particle** having solid phase A which contains Si, Sn, and/or Zn and (B) a (partial) coating having solid phase B which is a solid solution or intermetallic compound of Si, Sn, and/or Zn with ≥ 1 of Group 2, 12, 13, 14 elements and transition metals (excluding A-forming elements and C), and the composite **particles** also contain ceramics. The ceramics may be selected from SiC, Si₃N₄, Al₂O₃, TiC, TiB₂, Y₂O₃, ZrB₂, HfB₂, ZrO₂, ZnO, WC, and/or W₂C. The **batteries** are suitable for use in mobile phones, personal digital assistances, etc.

IT 71818-44-5

RL: DEV (Device component use); USES (Uses)
 (composite **particle** surface; solid solution or intermetallic compound composite **particles** containing ceramics as nonaq. electrolyte secondary **battery anodes**)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

L106 ANSWER 29 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2001:261437 HCAPLUS Full-text

DN 134:283272

TI Secondary nonaqueous electrolyte **battery** using coated **alloy** composite **particles** in **anode**

IN Nitta, Yoshiaki; Yoshizawa, Hiroshi; Shimamura, Harunari

PA Matsushita Electric Industrial Co., Ltd., Japan

SO Jpn. Kokai Tokkyo Koho, 10 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | JP 2001102052 | A | 20010413 | JP 1999-281309 | 19991001 <-- |
| PRAI | JP 1999-281309 | | 19991001 | <-- | |

AB The **battery** has the **anode** using the composite **particles** consisting of solid phase A as cores and solid phase B as coatings on all or partial surface of the cores, wherein the **particles** are coated with low-m.p. **alloys** containing Ga and In, Sn, and/or Zn. The solid phase A contains Si, Sn, and/or Zn. The solid phase B contains solid solns. or intermetallic compds. of the phase A elements with Group 2, transition, 12, 13, and 14 (excluding C) elements. The low-m.p. **alloy** coatings prevent formation of high-resistivity coatings on the composite **particles** and decrease of conductive network, so that the **battery** has high capacity, good cycle performance, and high-rate discharge performance.

IT 112336-35-3

RL: DEV (Device component use); PRP (Properties); USES (Uses)
 (anode **particles**; coated **alloy** composite **particles** in **anode** for high capacity, cycle, and discharge performance of nonaq. **battery**)

RN 112336-35-3 HCAPLUS

CN Tin alloy, base, Sn 81, Si 19 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------|----------------------|------------------------------|
| =====+===== | | |
| Sn | 81 | 7440-31-5 |
| Si | 19 | 7440-21-3 |

IT 51844-78-1

RL: DEV (Device component use); PRP (Properties); USES (Uses)
 (phase component in **particles**; coated **alloy** composite **particles** in **anode** for high capacity, cycle, and discharge performance of nonaq. **battery**)

RN 51844-78-1 HCAPLUS

CN Tin alloy, base, Sn, Si (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-------------|------------------------------|
| =====+===== | |
| Sn | 7440-31-5 |
| Si | 7440-21-3 |

L106 ANSWER 30 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2001:178408 HCAPLUS Full-text

DN 134:230605

TI Use of silicon germanium and other **alloys** as the replacement gate for the fabrication of MOSFET

IN Ma, Yanjun; Tweet, Douglas J.; Evans, David R.; Ono, Yoshi
 PA Sharp Laboratories of America, Inc., USA
 SO U.S., 13 pp., Cont.-in-part of U.S. Ser. No. 28,157.
 CODEN: USXXAM
 DT Patent
 LA English
 FAN.CNT 2

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|------------------|--------------|
| PI | US 6200866 | B1 | 20010313 | US 1999-410346 | 19990930 <-- |
| | US 6133106 | A | 20001017 | US 1998-28157 | 19980223 <-- |
| | JP 2001102583 | A | 20010413 | JP 2000-261913 | 20000830 <-- |
| | JP 3859439 | B2 | 20061220 | | |
| | TW 501205 | B | 20020901 | TW 2000-89120365 | 20000930 <-- |
| PRAI | US 1998-28157 | A2 | 19980223 | <-- | |
| | US 1999-410346 | A | 19990930 | <-- | |

AB A method of fabricating a MOSFET is provided, including; depositing an oxide layer on a Si substrate for device isolation; forming a Si based alloy island above a gate region in the substrate, in which the Si based alloy comprises a Si-Ge alloy or a Si-Sn alloy or another alloy of Group IV-B elements; building a sidewall about the Si based alloy island; forming a source region and a drain region in the substrate; removing the Si based alloy island, thereby leaving a void over the gate region; filing the void and the areas over the source region and the drain region; and planarizing the upper surface of the structure by chemical mech. polishing. Alternative embodiments providing conventional and raised source/drain structures are disclosed.

IT 329192-77-0, Silicon 0-95, tin 5-100 (atomic)
 RL: DEV (Device component use); TEM (Technical or engineered material use); USES (Uses)
 (use of silicon germanium and other alloys as replacement gate for fabrication of MOSFET)

RN 329192-77-0 HCAPLUS

CN Tin alloy, base, Sn 18-100, Si 0-82 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|-------------------|---------------------------|
| Sn | 18 - 100 | 7440-31-5 |
| Si | 0 - 82 | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|-------------------------|------------|-----------|----------|-----------------------|-----------------|
| Anon | 1990 | 57 | 2202 | Appl Phys Lett | |
| Anon | 1992 | 139 | 2943 | J Electrochem Soc | |
| Chatterjee | | | 29.2.2 | presented at Int'l E | |
| Doyle | 1999 | | | US 5858843 | HCAPLUS |
| Ismail | 1999 | | | US 5955759 | HCAPLUS |
| King | | | 10.4.1 | presented by Int'l E | |
| Lee | 1999 | | | US 5856225 | HCAPLUS |
| Lee | 1999 | 20 | 232 | IEEE Electron Device | HCAPLUS |
| Yagishita | | | 29.3.1 | presented at Int'l E | |

L106 ANSWER 31 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2000:757024 HCAPLUS Full-text

DN 133:337711

TI Nonaqueous electrolyte secondary cell

IN Shimamura, Harunari; Nitta, Yoshiaki

PA Matsushita Electric Industrial Co., Ltd., Japan

SO PCT Int. Appl., 29 pp.
 CODEN: PIXXD2
 DT Patent
 LA Japanese
 FAN.CNT 7

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|--|------|----------|-----------------|--------------|
| PI | WO 2000063986 | A1 | 20001026 | WO 2000-JP2502 | 20000418 <-- |
| | W: US | | | | |
| | RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE | | | | |
| | JP 2001006677 | A | 20010112 | JP 2000-114799 | 20000417 <-- |
| | JP 2001006667 | A | 20010112 | JP 2000-114800 | 20000417 <-- |
| | EP 1109239 | A1 | 20010620 | EP 2000-917330 | 20000418 <-- |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, FI | | | | |
| | US 6653019 | B1 | 20031125 | US 2001-719532 | 20010228 <-- |
| PRAI | JP 1999-112073 | A | 19990420 | <-- | |
| | JP 1999-112074 | A | 19990420 | <-- | |
| | US 1998-90484 | A2 | 19980603 | <-- | |
| | WO 2000-JP2502 | W | 20000418 | <-- | |

AB A nonaq. electrolyte secondary cell comprises a neg. **electrode** which comprises, as its main material, composite **particles** having nuclear **particles** comprising at least one constituent element selected from tin, silicon and zinc and, covering at least a part of the circumference thereof, a solid solution or an intermetallic compound of the constituent element with at least one element selected from the group consisting of 2 Group elements exclusive of the constituent elements of nuclear **particles**, transition elements, Group 12 elements, Group 13 elements and Group 14 elements exclusive of carbon of the Periodic Table, and in that the lithium occluded in the composite **particles** has a NMR signal in the range of -10 to 40 ppm and also at least one other signal in the range of -10 to 4 ppm. The nonaq. electrolyte secondary cell has higher energy d. and improved in life characteristics in charge-discharge cycle, as compared to a conventional cell using a carbon material for a neg. **electrode**.

IT 51844-78-1

RL: DEV (Device component use); USES (Uses)
 (neg. **electrode** in nonaq. electrolyte secondary cell containing)

RN 51844-78-1 HCAPLUS

CN Tin alloy, base, Sn,Si (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|---------------------------|
| Sn | 7440-31-5 |
| Si | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|----------------------------|---------------|--------------|-------------|--------------------------|--------------------|
| Hitachi Ltd | | | | US 6030726 A | HCAPLUS |
| Hitachi Ltd | | | | KR 98086348 A | |
| Hitachi Ltd | 1998 | | | JP 10208741 A | HCAPLUS |
| Hitachi Ltd | 1998 | | | JP 10321225 A | HCAPLUS |
| Kao Corporation | 1999 | | | JP 11297311 A | HCAPLUS |
| Matsushita Electric Ind | | | | JP 200030703 A | |
| Matsushita Electric Ind | 1998 | | | EP 0883199 A | HCAPLUS |
| Tokuyama Corp | 1998 | | | JP 10316426 A | HCAPLUS |

L106 ANSWER 32 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2000:741125 HCAPLUS Full-text

DN 133:284183

TI Material for nonaqueous electrolyte **battery anode**
composed of mixture of non-carbon and carbon materials

IN Yamada, Shinichiro; Endo, Takuya; Imoto, Hiroshi; Li, Guohua; Tanizaki,
Hiroaki

PA Sony Corp., Japan

SO Eur. Pat. Appl., 13 pp.

CODEN: EPXXDW

DT **Patent**

LA English

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|--|------|----------|------------------|--------------|
| PI | EP 1045465 | A2 | 20001018 | EP 2000-108189 | 20000413 <-- |
| | EP 1045465 | A3 | 20040721 | | |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO | | | | |
| | JP 2000357514 | A | 20001226 | JP 1999-365065 | 19991222 <-- |
| | JP 2000357515 | A | 20001226 | JP 1999-365066 | 19991222 <-- |
| | CA 2305837 | A1 | 20001014 | CA 2000-2305837 | 20000411 <-- |
| | US 6300013 | B1 | 20011009 | US 2000-549199 | 20000413 <-- |
| | CN 1272698 | A | 20001108 | CN 2000-117946 | 20000414 <-- |
| | TW 451519 | B | 20010821 | TW 2000-89107021 | 20000414 <-- |
| PRAI | JP 1999-107158 | A | 19990414 | <-- | |
| | JP 1999-365065 | A | 19991222 | <-- | |
| | JP 1999-365066 | A | 19991222 | <-- | |

AB A material for an **anode** (capable of preventing change in the volume of an active material occurring when lithium is doped/dedoped to improve resistance against cycle operations) contains a mixture of a non-carbon material and a carbon material, wherein when an assumption is made that the average **particle** size of the non-carbon material is RM and the average **particle** size of the carbon material is RC, the ratio RM/RC is not higher than one, and when an assumption is made that the weight of the non-carbon material is WM and the weight of the carbon is WC, the ratio WM/WC is not higher than one or a mixture of a silicon compound and a carbon material, wherein when an assumption is made that the average **particle** size of the silicon compound is RSi and the average **particle** size of the carbon material is RC, the ratio RSi/RC is not higher than one.

IT 103289-29-8, Tin silicide

RL: DEV (Device component use); USES (Uses)

(material for nonaq. electrolyte **battery anode**

composed of mixture of non-carbon and carbon materials)

RN 103289-29-8 HCAPLUS

CN Tin silicide (9CI) (CA INDEX NAME)

| Component | Ratio | Component | Registry Number |
|-----------|-------|-----------|-----------------|
| Sn | x | | 7440-31-5 |
| Si | x | | 7440-21-3 |

L106 ANSWER 33 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2000:725898 HCAPLUS Full-text

DN 133:298813

TI Nonaqueous electrolyte secondary cell and its negative **electrode**

IN Kasamatsu, Shinji; Shimamura, Harunari; Nitta, Yoshiaki

PA Matsushita Electric Industrial Co., Ltd., Japan

SO PCT Int. Appl., 31 pp.

CODEN: PIXXD2

DT Patent

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|--|------|----------|-----------------|--------------|
| PI | WO 2000060681 | A1 | 20001012 | WO 2000-JP1924 | 20000329 <-- |
| | W: US | | | | |
| | RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE | | | | |
| | JP 2000285919 | A | 20001013 | JP 1999-92575 | 19990331 <-- |
| | EP 1100134 | A1 | 20010516 | EP 2000-912892 | 20000329 <-- |
| | EP 1100134 | B1 | 20051116 | | |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, FI | | | | |
| | US 6548208 | B1 | 20030415 | US 2001-701277 | 20010122 <-- |
| PRAI | JP 1999-92575 | A | 19990331 | <-- | |
| | WO 2000-JP1924 | W | 20000329 | <-- | |

AB A neg. plate for a nonaq. electrolyte secondary cell has a high capacity and a discharge capacity hardly decreasing because of the charging/discharging cycle, and both properties are achieved by improving the elec. conductivity at the surface of **particles** of the material of the neg. plate. The material of the neg. plate contains **particles** and the nuclei of the **particles** are solid phase A coated wholly or partially with solid phase B. The solid phase A contains silicon as a constituent element, and the solid phase B is either a solid solution containing silicon and at least one element selected from Group 2, transition elements, Group 12, Group 13, and Group 14 of the periodic table except carbon and silicon or an intermetallic compound. A nonaq. electrolyte secondary cell including such a material is also disclosed.

IT 112336-35-3

RL: DEV (Device component use); USES (Uses)

(in neg. **electrode** for nonaq. electrolyte secondary cell)

RN 112336-35-3 HCAPLUS

CN Tin alloy, base, Sn 81, Si 19 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|-------------------|---------------------------|
| Sn | 81 | 7440-31-5 |
| Si | 19 | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|-------------------------|------------|-----------|----------|-----------------------|-----------------|
| Hitachi Maxell Ltd | 1998 | | | JP 10125309 A | HCAPLUS |
| Matsushita Electric Ind | 1998 | | | JP 10308208 A | HCAPLUS |
| Matsushita Electric Ind | 2000 | | | JP 200030703 A | |
| Sony Corp | | | | JP 1083817 A | |
| Sony Corp | | | | US 6042969 A | HCAPLUS |
| Sony Corp | 1998 | | | EP 820110 A2 | HCAPLUS |
| Sumitomo Metal Industri | 1998 | | | JP 10302770 A | HCAPLUS |

L106 ANSWER 34 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2000:210572 HCAPLUS Full-text

DN 132:210263

TI **Anode** materials for secondary lithium **batteries**, **anodes** from the materials, the **batteries**, and manufacture of the **anodes** and the **batteries**

IN **Kawakami, Soichiro; Asao, Masaya**
 PA Canon Kabushiki Kaisha, Japan
 SO PCT Int. Appl., 111 pp.
 CODEN: PIXXD2
 DT **Patent**
 LA Japanese
 FAN.CNT 2

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|--|------|----------|--------------------|--------------|
| PI | WO 2000017948 | A1 | 20000330 | WO 1999-JP5092 | 19990917 <-- |
| | W: CA, CN, KR, US | | | | |
| | RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE | | | | |
| | JP 2000311681 | A | 20001107 | JP 1999-261516 | 19990916 <-- |
| | JP 3620703 | B2 | 20050216 | | |
| | CA 2310475 | A1 | 20000330 | CA 1999-2310475 | 19990917 <-- |
| | EP 1039568 | A1 | 20000927 | EP 1999-943402 | 19990917 <-- |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, FI | | | | |
| | CN 1492525 | A | 20040428 | CN 2001-2001140730 | 19990917 <-- |
| | TW 468287 | B | 20011211 | TW 1999-88116171 | 19990918 <-- |
| | US 6949312 | B1 | 20050927 | US 2000-554794 | 20000814 <-- |
| | HK 1061924 | A1 | 20060915 | HK 2004-104859 | 20040706 <-- |
| | US 2005175901 | A1 | 20050811 | US 2005-104440 | 20050413 <-- |
| | US 7183018 | B2 | 20070227 | | |
| | US 2007031730 | A1 | 20070208 | US 2006-544713 | 20061010 <-- |
| PRAI | JP 1998-282087 | A | 19980918 | <-- | |
| | JP 1999-50471 | A | 19990226 | <-- | |
| | JP 1999-261516 | A | 19990916 | <-- | |
| | WO 1999-JP5092 | W | 19990917 | <-- | |
| | US 2000-554794 | A3 | 20000814 | <-- | |
| | US 2005-104440 | A3 | 20050413 | | |

AB The **anode** materials contain **particles** of amorphous non-stoichiometric **alloy** Sn-A-X, where A = transition metal(s), X is an optional component and is selected from O, F, N, Mg, Ba, Sr, Ca, La, Ce, Si, Ge, C, P, B, Bi, Sb, Al, In, and Zn. The **anode** have the above **anode** materials applied on a collector which does not form **alloys** with Li and are prepared by applying the material on the collector.

IT **260805-70-7P**

RL: DEV (Device component use); IMF (Industrial manufacture); PREP (Preparation); USES (Uses)
 (compsn. and manufacture of **anode** materials for secondary lithium **batteries**)

RN 260805-70-7 HCAPLUS

CN Tin alloy, base, Sn 71, Co 18, Si 11 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|-------------------|---------------------------|
| Sn | 71 | 7440-31-5 |
| Co | 18 | 7440-48-4 |
| Si | 11 | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|-------------------------|------------|-----------|----------|-----------------------|-----------------|
| Fuji Photo Film CoLtd | | | | JP 07249409 | HCAPLUS |
| Fuji Photo Film CoLtd | | | | EP 651450 A1 | HCAPLUS |
| Fuji Photo Film CoLtd | 1998 | | | US 5780181 A | HCAPLUS |

Fuji Photo Film Co Ltd |1996 | | |JP 08315858 A |HCAPLUS
Seimi Chemical Co Ltd |1999 | | |JP 1145712 A |

L106 ANSWER 35 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2000:191355 HCAPLUS Full-text

DN 132:224250

TI Touch panel for display screens

IN Sato, Hirotooshi; Noda, Kazuhiro; Furukawa, Shuji; Tanimura, Kohtaro

PA Gunze Ltd., Japan

SO PCT Int. Appl., 61 pp.

CODEN: PIXXD2

DT **Patent**

LA English

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---|------|----------|------------------|--------------|
| PI | WO 2000016251 | A1 | 20000323 | WO 1999-JP4854 | 19990908 <-- |
| | W: CN, KR, US | | | | |
| | RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE | | | | |
| | JP 2000085051 | A | 20000328 | JP 1998-258569 | 19980911 <-- |
| | JP 3366864 | B2 | 20030114 | | |
| | EP 1031111 | A1 | 20000830 | EP 1999-943201 | 19990908 <-- |
| | R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, FI | | | | |
| | CN 1508749 | A | 20040630 | CN 2004-10001868 | 19990908 <-- |
| | TW 448399 | B | 20010801 | TW 1999-88115580 | 19990909 <-- |
| | JP 2003197035 | A | 20030711 | JP 2002-277911 | 20020924 <-- |
| | JP 3859569 | B2 | 20061220 | | |
| PRAI | JP 1998-256463 | A | 19980910 | <-- | |
| | JP 1998-258569 | A | 19980911 | <-- | |
| | JP 1998-301442 | A | 19981022 | <-- | |
| | CN 1999-801567 | A | 19990908 | <-- | |
| | WO 1999-JP4854 | W | 19990908 | <-- | |
| AB | A touch panel is described for input operations on a liquid crystal display, which has an excellent contact level between an undercoat layer and a substrate on which the undercoat layer is formed. The touch panel is lightwt. with wide operating temperature range and impact resistance. A metal layer is provided between the conductive layer and an undercoat layer, the metal layer being formed from a single metal (e.g., Si, Ti, Sn, Zn) or an alloy. An amorphous polyolefin base resin sheet is used for forming conductive-layer forming members of the touch and display substrates, using a material for forming a supporting member so that a difference between linear expansion coeffs. of the supporting member and each of the conductive-layer forming members is kept within 1x10-5/°C. | | | | |
| IT | 71818-44-5, Silicon alloy, Si,Sn | | | | |
| | RL: DEV (Device component use); TEM (Technical or engineered material use); USES (Uses) | | | | |
| | (touch panel for display screens) | | | | |
| RN | 71818-44-5 HCAPLUS | | | | |
| CN | Silicon alloy, nonbase, Si,Sn (CA INDEX NAME) | | | | |

| Component | Component Registry Number |
|-----------|---------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

RETABLE

| Referenced Author | Year | VOL | PG | Referenced Work | Referenced |
|-------------------|------|-----|----|-----------------|------------|
|-------------------|------|-----|----|-----------------|------------|

| (RAU) | (RPY) | (RVL) | (RPG) | (RWK) | File |
|-------------------------|-------|-------|-------|---------------|---------|
| Gunze Ltd | 1997 | | | JP 09115385 A | |
| Gunze Ltd | 1997 | | | JP 09237159 A | HCAPLUS |
| Kenichirou, I | 1997 | | | US 5668576 A | |
| Matsushita Electric Ind | 1998 | | | JP 10171599 A | HCAPLUS |
| Seiko Epson Corp | 1995 | | | JP 07013678 A | |
| Tatsuo, O | 1986 | | | US 4585689 A | HCAPLUS |

L106 ANSWER 36 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 2000:54118 HCAPLUS Full-text

DN 132:66713

TI **Electrode** material for secondary lithium **batteries**

IN Turner, Robert L.

PA Minnesota Mining and Manufacturing Company, USA

SO PCT Int. Appl., 46 pp.

CODEN: PIXXD2

DT **Patent**

LA English

FAN.CNT 1

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|---------------------|--|----------|------------------|--------------|
| WO 2000003444 | A1 | 20000120 | WO 1999-US1254 | 19990121 <-- |
| W: | AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM | | | |
| RW: | GH, GM, KE, LS, MW, SD, SZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG | | | |
| US 6255017 | B1 | 20010703 | US 1998-113385 | 19980710 <-- |
| CA 2337210 | A1 | 20000120 | CA 1999-2337210 | 19990121 <-- |
| AU 9923315 | A | 20000201 | AU 1999-23315 | 19990121 <-- |
| EP 1099265 | A1 | 20010516 | EP 1999-903250 | 19990121 <-- |
| EP 1099265 | B1 | 20040512 | | |
| R: | DE, FR, GB, IT | | | |
| JP 2002520783 | T | 20020709 | JP 2000-559603 | 19990121 <-- |
| TW 469661 | B | 20011221 | TW 1999-88111524 | 19990707 <-- |
| HK 1037061 | A1 | 20050610 | HK 2001-107575 | 20011030 <-- |
| PRAI US 1998-113385 | A | 19980710 | <-- | |
| WO 1999-US1254 | W | 19990121 | <-- | |

AB An **electrode** composition that includes an **electrode** material consisting essentially of a plurality of electrochem. active metal elements in which the **electrode** material has a microstructure comprising these elements in the form of a mixture that is essentially free of domains measuring greater than about 1000 Å. The electrochem. active metal elements are selected from the group consisting of Al, Si, Sn, Sb, Pb, Ge, Mg, Zn, Cd, Bi, and In.

IT 71818-44-5 116520-50-4 116520-51-5

170704-95-7 253344-64-8

RL: DEV (Device component use); USES (Uses)

(**electrode** material for secondary lithium **batteries**)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|---------------------------|
| Si | 7440-21-3 |

Sn 7440-31-5

RN 116520-50-4 HCAPLUS

CN Tin alloy, base, Sn 72,Si 28 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 72 | 7440-31-5 |
| Si | 28 | 7440-21-3 |

RN 116520-51-5 HCAPLUS

CN Tin alloy, base, Sn 83,Si 17 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 83 | 7440-31-5 |
| Si | 17 | 7440-21-3 |

RN 170704-95-7 HCAPLUS

CN Tin alloy, base, Sn 87,Si 13 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 87 | 7440-31-5 |
| Si | 13 | 7440-21-3 |

RN 253344-64-8 HCAPLUS

CN Tin alloy, base, Sn 90,Si 10 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 90 | 7440-31-5 |
| Si | 10 | 7440-21-3 |

RETABLE

| Referenced Author (RAU) | Year (RPY) | VOL (RVL) | PG (RPG) | Referenced Work (RWK) | Referenced File |
|----------------------------|---------------|--------------|-------------|--------------------------|--------------------|
| Besenhard, J | 1985 | | | US 4547442 A | HCAPLUS |
| Canon Kk | 1996 | | | EP 0690517 A | HCAPLUS |
| Canon Kk | 1998 | | | EP 0855752 A | HCAPLUS |
| Hirofumi, I | 1996 | | | US 5494762 A | HCAPLUS |
| Macrae, M | 1990 | | | US 4915985 A | HCAPLUS |
| Renata Ag | 1995 | | | EP 0664570 A | HCAPLUS |
| Seiko Instr Inc | 1992 | | | JP 04206264 A | HCAPLUS |
| Toshiba Corp | 1998 | | | JP 10003920 A | HCAPLUS |
| Zlatilova, P | 1988 | 24 | 71 | JOURNAL OF POWER SOU | HCAPLUS |

L106 ANSWER 37 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1998:231512 HCAPLUS Full-text

DN 128:273848

TI Positron annihilation studies in SixSn1-x and GexSn1-x alloys

AU Benkabou, F.; Bouhafs, B.; Zaoui, A.; Certier, M.; Aourag, H.

CS Computational Materials Science Lab., Phys. Dep., Univ. Sidi-Bel-Abbes,
Sidi-Bel-Abbes, 22000, Algeria

SO Physica Status Solidi B: Basic Research (1998), 206(2), 635-644

CODEN: PSSBBD; ISSN: 0370-1972

PB Wiley-VCH Verlag Berlin GmbH

DT Journal

LA English

AB The angular correlation of positron annihilation radiation (ACPAR) along different crystallog. directions in SixSn1-x and GexSn1-x is calculated. The authors observe that the electron-positron momentum d. increases rapidly with increasing Si and Ge content. The computational technique used here is based on the independent-particle model (IPM) coupled with the use of the electron pseudo-wave and the virtual crystal approximation (VCA) which incorporates compositional disorder as an effective potential. The authors also present the variation of the positron lifetime in these alloys.

IT 71818-44-5

RL: PRP (Properties); TEM (Technical or engineered material use); USES (Uses)

(calcn. of electron-positron momentum distribution in Si-Sn and Ge-Sn semiconductor alloys in correlation with positron annihilation radiation)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

L106 ANSWER 38 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1998:128228 HCAPLUS Full-text

DN 128:186572

TI Synthesis of non-thermal-equilibrium composition semiconductor by ion-beam-induced epitaxial crystallization

AU Kobayashi, Naoto

CS Electrotechnical Lab., Umezono, Tsukuba, Ibaraki, 305, Japan

SO Hyomen Kagaku (1997), 18(12), 803-809

CODEN: HYKAET; ISSN: 0388-5321

PB Nippon Hyomen Kagakkai

DT Journal; General Review

LA Japanese

AB Ion-beam-induced epitaxial crystallization (IBIEC) is an appropriate method for the crystalline growth of semiconducting materials with nonthermal-equilibrium composition. In this review, with .apprx.28 refs., I focus on the synthesis of the Si-based Group IV semiconductors, such as Si1-xGex, Si1-x-yGexCy, Si1-yCy and Si1-zSnz formed by ion implantation. As far as Si1-xyGexCy grown by IBIEC is concerned, Si atoms are substitutionally replaced with C atoms, and hence the lattice matching between Si1-x-yGexCy and Si is better for IBIEC than for solid phase epitaxial growth (SPEG), because of the formation of SiC in the latter. However, small vacancy clusters are produced in the samples grown by IBIEC. Efforts should be made to annihilate these defects. I also demonstrate the feasibility of synthesizing Si1-yCy and Si1-zSnz with nonthermal-equilibrium composition by IBIEC.

IT 71818-44-5

RL: PEP (Physical, engineering or chemical process); PROC (Process)
(synthesis of non-thermal-equilibrium composition semiconductor by ion-beam-induced epitaxial crystallization)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

| Component | Component |
|-----------|-----------|
|-----------|-----------|

Registry Number

=====+=====

Si 7440-21-3
Sn 7440-31-5

L106 ANSWER 39 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1997:754524 HCAPLUS Full-text

DN 128:64975

TI Aluminum **alloy** clad materials showing excellent solderability
and and high corrosion resistance

IN Hisatomi, Yuji; Shoji, Yoshifusa; Ikeda, Hiroshi

PA Sumitomo Light Metal Industries, Ltd., Japan

SO Jpn. Kokai Tokkyo Koho, 9 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|--------------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | JP 09302433 | A | 19971125 | JP 1996-140655 | 19960510 <-- |
| | JP 3222768 | B2 | 20011029 | | |
| PRAI | JP 1996-140655 | | 19960510 <-- | | |

AB In the title materials comprising Al **alloy** clad cores, Al-Si solder claddings on one side of the cores, and sacrificial **anode** claddings on the other side, and used by using fluoride fluxes; Mg content in the Al-Si solders is 0-0.02 weight%, and average Mg concentration within 150 (sic) depth from the surfaces is 0-10 atomic%. Preferably, the cores are Al **alloys** containing Mn 0.4-2.0, Cu 0.25-1.0, Mg 0.2-0.8, Si 0.1-1.0, and Fe 0.06-0.8 weight%, the sacrificial **anodes** are Al **alloys** containing Zn 0.5-3.0, Mg 0.2-0.8, and Si 0.06-0.3 weight%, and the solders are Al **alloys** containing 5.0-15 weight% Si. The claimed materials are manufactured by cold rolling and annealing at 250-350°. The materials are suitable for tubes and sheets for heat exchangers.

IT 12635-40-4

RL: TEM (Technical or engineered material use); USES (Uses)
(solder claddings; composite Al **alloys** for tubes and plates
for heat exchangers)

RN 12635-40-4 HCAPLUS

CN Aluminum alloy, base, Al 93, Si 7 (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
|-----------|----------------------|------------------------------|

=====+=====

| | | |
|----|----|-----------|
| Al | 93 | 7429-90-5 |
| Si | 7 | 7440-21-3 |

L106 ANSWER 40 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1994:643442 HCAPLUS Full-text

DN 121:243442

TI Electrically conductive glass and their preparation

IN Suzuki, Susumu; Seki, Koichi; Ando, Hidekazu

PA Asahi Glass Co Ltd, Japan

SO Jpn. Kokai Tokkyo Koho, 5 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|--|------------|------|-------|-----------------|-------|
| | ----- | ---- | ----- | ----- | ----- |

PI JP 06191894 A 19940712 JP 1992-357618 19921224 <--
 PRAI JP 1992-357618 19921224 <--

AB The glass comprises an alkali-containing glass successively coated with an oxide film (A) mainly containing Sn and Si (to inhibit alkali diffusion from the glass) as an alkali barrier, and a conductive film (B). The manufacture involves successively forming of A and B on an alkali-containing glass. Preferably, the alkali barrier film has [Sn/(Sn + Si)] ratio 5-95 atomic%, and B is continuously formed by direct-current sputtering after forming A. The glass is heat- and deterioration-resistant.

IT 158616-16-1, Tin silicide (SnSi₂)
 RL: NUU (Other use, unclassified); PEP (Physical, engineering or chemical process); PROC (Process); USES (Uses)
 (sputtering targets; sputtering of alkali barrier films and conductive films on alkali-containing glass)

RN 158616-16-1 HCAPLUS

CN Tin silicide (SnSi₂) (9CI) (CA INDEX NAME)

| Component | Ratio | Component | Registry Number |
|-----------|-------|-----------|-----------------|
| Sn | 1 | | 7440-31-5 |
| Si | 2 | | 7440-21-3 |

L106 ANSWER 41 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1994:312556 HCAPLUS Full-text

DN 120:312556

TI Properties of evaporated amorphous silicon-tin and hydrogenated silicon-tin alloys

AU Vergnat, M.; Gerl, M.

CS Lab. Metall. Phys. Sci. Mater., Univ. Nancy 1, Fr.

SO Journal of Materials Science & Technology (Shenyang, China) (1993), 9(2), 79-88

CODEN: JSCTEQ; ISSN: 1005-0302

DT Journal

LA English

AB Amorphous Si_{1-x}Sn_x alloys have been prepared by co-evaporation onto substrates maintained at liquid-nitrogen temperature. Their atomic structure is investigated using d. measurements, scanning high-energy electron diffraction and Moessbauer spectroscopy. Optical and elec. properties are reported. Then, a method to hydrogenate the films during the evaporation process is described and applied to the preparation of amorphous semiconductors from pure silicon to pure tin. Finally, multilayers of type Si/Si:H/... or Si:H/Si:D/... were studied. The modulation of hydrogen is shown by low-angle neutron scattering, and measurements of hydrogen diffusivity are presented.

IT 62795-20-4 94900-58-0, Silicon 43, tin 57 (atomic)
 116520-48-0, Silicon 90, tin 10 (atomic) 116520-50-4,
 Silicon 62, tin 38 (atomic) 122168-05-2, Silicon 78, tin 22
 (atomic) 155046-43-8, Silicon 87, tin 13 (atomic)
 155046-44-9, Silicon 68, tin 32 (atomic) 155046-45-0,
 Silicon 53, tin 47 (atomic)

RL: PRP (Properties)

(structural and optical and elec. properties of evaporated hydrogenated amorphous films of)

RN 62795-20-4 HCAPLUS

CN Silicon alloy, base, Si 0-100, Sn 0-100 (CA INDEX NAME)

| Component | Component | Component |
|-----------|-----------|-----------------|
| | Percent | Registry Number |
| ===== | ===== | ===== |

| | | |
|----|---------|-----------|
| Si | 0 - 100 | 7440-21-3 |
| Sn | 0 - 100 | 7440-31-5 |

RN 94900-58-0 HCAPLUS

CN Tin alloy, base, Sn 85, Si 15 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 85 | 7440-31-5 |
| Si | 15 | 7440-21-3 |

RN 116520-48-0 HCAPLUS

CN Silicon alloy, base, Si 68, Sn 32 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Si | 68 | 7440-21-3 |
| Sn | 32 | 7440-31-5 |

RN 116520-50-4 HCAPLUS

CN Tin alloy, base, Sn 72, Si 28 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 72 | 7440-31-5 |
| Si | 28 | 7440-21-3 |

RN 122168-05-2 HCAPLUS

CN Tin alloy, base, Sn 54, Si 46 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 54 | 7440-31-5 |
| Si | 46 | 7440-21-3 |

RN 155046-43-8 HCAPLUS

CN Silicon alloy, base, Si 61, Sn 39 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Si | 61 | 7440-21-3 |
| Sn | 39 | 7440-31-5 |

RN 155046-44-9 HCAPLUS

CN Tin alloy, base, Sn 67, Si 33 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 67 | 7440-31-5 |
| Si | 33 | 7440-21-3 |

RN 155046-45-0 HCAPLUS

CN Tin alloy, base, Sn 79, Si 21 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 79 | 7440-31-5 |
| Si | 21 | 7440-21-3 |

L106 ANSWER 42 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1993:137984 HCAPLUS Full-text

DN 118:137984

TI Photoannealing of nonsingle-crystal semiconductor films

IN Yamazaki, Shunpei; Suzuki, Kunio; Nagayama, Susumu; Inujima, Takashi; Abe, Masayoshi; Fukada, Takeshi; Kinka, Mikio; Kobayashi, Ippei; Shibata, Katsuhiko; et al.

PA Semiconductor Energy Laboratory Co., Ltd. (SEL), Japan

SO U.S., 12 pp. Cont.-in-part of U.S. 4,986,213.

CODEN: USXXAM

DT **Patent**

LA English

FAN.CNT 3

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | US 5171710 | A | 19921215 | US 1990-520998 | 19900509 <-- |
| | JP 62054423 | A | 19870310 | JP 1985-186372 | 19850823 <-- |
| | US 4986213 | A | 19910122 | US 1988-251940 | 19880928 <-- |
| | US 4888305 | A | 19891219 | US 1989-320788 | 19890309 <-- |
| | JP 2000311857 | A | 20001107 | JP 2000-87442 | 19910318 <-- |
| | JP 2002110696 | A | 20020412 | JP 2001-258626 | 19910318 <-- |
| | JP 2002110697 | A | 20020412 | JP 2001-258627 | 19910318 <-- |
| | JP 2002118119 | A | 20020419 | JP 2001-258628 | 19910318 <-- |
| | US 5296405 | A | 19940322 | US 1992-933718 | 19920824 <-- |
| | US 5962869 | A | 19991005 | US 1994-183800 | 19940121 <-- |
| | US 5753542 | A | 19980519 | US 1995-396780 | 19950301 <-- |
| | US 2002048891 | A1 | 20020425 | US 1998-38926 | 19980309 <-- |
| | US 6423586 | B2 | 20020723 | | |
| | JP 2004343144 | A | 20041202 | JP 2004-236441 | 20040816 <-- |
| | US 2005181583 | A1 | 20050818 | US 2005-105404 | 20050414 <-- |
| PRAI | JP 1985-170956 | A | 19850802 | <-- | |
| | JP 1985-186372 | A | 19850823 | <-- | |
| | US 1986-891791 | B1 | 19860801 | <-- | |
| | US 1988-251940 | A2 | 19880928 | <-- | |
| | US 1987-74344 | A1 | 19870714 | <-- | |
| | US 1990-520998 | A1 | 19900509 | <-- | |
| | JP 1991-80799 | A | 19910318 | <-- | |
| | JP 1998-80263 | A3 | 19910318 | <-- | |
| | JP 2000-87442 | A3 | 19910318 | <-- | |
| | JP 2001-230625 | A3 | 19910318 | <-- | |
| | US 1992-852517 | B1 | 19920317 | <-- | |
| | US 1992-933718 | A2 | 19920824 | <-- | |
| | US 1994-183800 | A3 | 19940121 | <-- | |
| | US 1995-396780 | A3 | 19950301 | <-- | |
| | US 1998-38926 | A3 | 19980309 | <-- | |
| | US 2001-978696 | A3 | 20011018 | <-- | |

AB A nonsingle-crystal semiconductor film containing Si and $\geq 5 \times 10^{18}$ O atoms/cm³ is formed on a substrate, irradiated with light, and a neutralizing agent F, Cl, or H) is introduced into the irradiated film. The film obtained does not degrade even under repetition of the Staebler-Wronski effect.

IT 62795-20-4

RL: USES (Uses)

(photoannealing of nonsingle-crystal films of, containing oxygen)

RN 62795-20-4 HCAPLUS
 CN Silicon alloy, base, Si 0-100, Sn 0-100 (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 0 - 100 | 7440-21-3 |
| Sn | 0 - 100 | 7440-31-5 |

L106 ANSWER 43 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1992:417886 HCAPLUS Full-text

DN 117:17886

TI Apparatus and methods for forming fine structures

IN Yoneda, Masahiro

PA Mitsubishi Electric Corp., Japan

SO Ger. Offen., 9 pp.

CODEN: GWXXBX

DT **Patent**

LA German

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| PI | DE 4128780 | A1 | 19920305 | DE 1991-4128780 | 19910829 <-- |
| | JP 04111312 | A | 19920413 | JP 1990-228017 | 19900831 <-- |
| PRAI | JP 1990-228017 | A | 19900831 | <-- | |

AB The title apparatus comprises plasma deposition apparatus which includes a substrate supporting **electrode** coupled with means for producing elastic waves on the substrate. The title methods entail carrying out the deposition while producing elastic waves on the substrate so that selective deposition is induced. The films may comprise metals, silicides, carbides, nitrides, polymers, ferroelecs., oxide superconductors, or ferromagnetic materials; the elastic wave may be an ultrasonic wave.

IT 103289-29-8, Tin silicide

RL: USES (Uses)

(deposition of films of, fine pattern formation during)

RN 103289-29-8 HCAPLUS

CN Tin silicide (9CI) (CA INDEX NAME)

| Component | Ratio | Component Registry Number |
|-----------|-------|------------------------------|
| Sn | x | 7440-31-5 |
| Si | x | 7440-21-3 |

L106 ANSWER 44 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1992:46704 HCAPLUS Full-text

DN 116:46704

TI Structural studies of amorphous semiconductor-metal **alloys**

AU Edwards, A. M.; Fairbanks, M. C.; Newport, R. J.; Gurman, S. J.

CS Phys. Lab., Univ. Kent, Canterbury, CT2 7NR, UK

SO Vacuum (1990), 41(4-6), 1335-8

CODEN: VACUAV; ISSN: 0042-207X

DT Journal

LA English

AB A semiconductor to metal transition in amorphous semiconductor-metal **alloys** may be induced by increasing the metal concentration above a critical limit. Without a knowledge of the atomic scale structure of the **alloy**, it is difficult to ascribe a mechanism to this process. Three **alloy** systems (a-Si-

xNix-H; a-GeI-xAux and a-Sil-x-H) have been prepared as thin films by radiofrequency reactive cosputtering over pertinent composition ranges. The microstructure of these alloys was investigated by using EXAFS. Both a-Sil-xNix-H and a-GeI-xAux consist of 2 sep. phases, regions of an amorphous Ni-Si alloy and a crystalline Ge-Au alloy being embedded in an amorphous matrix provided by a-Si and a-Ge, resp. In contrast, however, Sn atoms are substituted randomly into the a-Si tetrahedral random network.

IT 133104-79-7

RL: PRP (Properties)

(structure of amorphous hydrogen-doped)

RN 133104-79-7 HCAPLUS

CN Silicon alloy, base, Si 52-100, Sn 0-48 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 52 - 100 | 7440-21-3 |
| Sn | 0 - 48 | 7440-31-5 |

L106 ANSWER 45 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1991:646110 HCAPLUS Full-text

DN 115:246110

TI Manufacture of photovoltaic device containing transparent
electrode

IN Iwamoto, Masayuki; Yamaoki, Toshihiko; Minami, Koji

PA Sanyo Electric Co., Ltd., Japan

SO Jpn. Kokai Tokkyo Koho, 3 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| PI | JP 03185877 | A | 19910813 | JP 1989-325644 | 19891215 <-- |
| | JP 2771651 | B2 | 19980702 | | |
| PRAI | JP 1989-325644 | | 19891215 | <-- | |

AB The device is manufactured by forming a semiconductor thin film layer at the side of an incidence surface on a semiconductor substrate by liquid-phase growth using a metal solvent of Sn, In, Zn, etc., forming a Sn, In, Zn, etc.-containing metal or (alloy) thin film on the semiconductor thin film layer, and oxidizing the metal (alloy) thin film to give a transparent elec. conducting metal oxide thin film.

IT 51844-78-1

RL: RCT (Reactant); RACT (Reactant or reagent)

(oxidation of, for photovoltaic device transparent **electrode**)

RN 51844-78-1 HCAPLUS

CN Tin alloy, base, Sn, Si (9CI) (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| Sn | 7440-31-5 |
| Si | 7440-21-3 |

L106 ANSWER 46 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1989:488283 HCAPLUS Full-text

DN 111:88283

TI Ion beam mixing of silicon-tin multilayers

AU Massouras, G.; Roger, J. A.; Perez, A.; Fuchs, G.; Romana, L.
 CS Dep. Phys. Mater., Univ. Claude Bernard Lyon 1, Villeurbanne, 69622, Fr.
 SO Hyperfine Interactions (1989), Volume Date 1988, 46(1-4), 509-15
 CODEN: HYINDN; ISSN: 0304-3843
 DT Journal
 LA English
 AB Si and Sn multilayers of total thickness 200 nm were deposited at room temperature on Be and glass-plate substrates under high vacuum ($<5 \times 10^{-7}$ mbar). The average atomic Sn fraction of the whole layer varied from 0.12 to 0.60. The samples were irradiated at room temperature with Xe⁺ ions of 900 keV energy with fluences of 10^{15} to 2×10^{16} ions/cm². Rutherford backscattering spectrometry was used to check overall composition before irradiation. After irradiation, a substitutional Sn site is evidenced by means of ¹¹⁹Sn conversion electron Moessbauer spectroscopy, the relative population of which depends on composition and irradiation fluence. TEM was used to monitor the evolution of the samples with irradiation fluence. Elec. measurements show semiconductor behavior of the mixed multilayers with elec. resistivity ranging from 10^2 to 10^{-3} Ω .cm as a function of composition.
 IT 112315-74-9 112336-35-3 120518-21-0
 122168-03-0 122168-04-1 122168-05-2
 122168-06-3
 RL: PRP (Properties)
 (conversion electron Moessbauer spectra of)
 RN 112315-74-9 HCAPLUS
 CN Tin alloy, base, Sn 74, Si 26 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 74 | 7440-31-5 |
| Si | 26 | 7440-21-3 |

RN 112336-35-3 HCAPLUS
 CN Tin alloy, base, Sn 81, Si 19 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 81 | 7440-31-5 |
| Si | 19 | 7440-21-3 |

RN 120518-21-0 HCAPLUS
 CN Tin alloy, base, Sn 86, Si 14 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Sn | 86 | 7440-31-5 |
| Si | 14 | 7440-21-3 |

RN 122168-03-0 HCAPLUS
 CN Silicon alloy, base, Si 54, Sn 46 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-------------------|----------------------|------------------------------|
| =====+=====+===== | | |
| Si | 54 | 7440-21-3 |
| Sn | 46 | 7440-31-5 |

RN 122168-04-1 HCAPLUS

CN Silicon alloy, base, Si 63,Sn 37 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 63 | 7440-21-3 |
| Sn | 37 | 7440-31-5 |

RN 122168-05-2 HCAPLUS

CN Tin alloy, base, Sn 54,Si 46 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 54 | 7440-31-5 |
| Si | 46 | 7440-21-3 |

RN 122168-06-3 HCAPLUS

CN Tin alloy, base, Sn 64,Si 36 (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 64 | 7440-31-5 |
| Si | 36 | 7440-21-3 |

IT 122168-02-9

RL: PRP (Properties)

(elec. resistance of, from ion beam mixing)

RN 122168-02-9 HCAPLUS

CN Tin alloy, base, Sn 37-86,Si 14-63 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 37 - 86 | 7440-31-5 |
| Si | 14 - 63 | 7440-21-3 |

L106 ANSWER 47 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1988:159596 HCAPLUS Full-text

DN 108:159596

TI Preparation of hydrogenated amorphous silicon tin alloys

AU Vergnat, M.; Marchal, G.; Piecuch, M.

CS Lab. Phys. Solide, Univ. Nancy, Vandoeuvre-les-Nancy, 54506, Fr.

SO Revue de Physique Appliquee (1987), 22(12), 1803-8

CODEN: RPHAAN; ISSN: 0035-1687

DT Journal

LA English

AB A new method to obtain hydrogenated amorphous semiconductor alloys is described. The method is reactive co-evaporation Hydrogenated Si-Sn alloys are prepared under atomic H atmospheric The influence of various parameters of preparation (H pressure, W tube temperature, substrate temperature, annealing...) on elec. properties of samples is discussed.

IT 90175-80-7 106806-26-2 113819-90-2

113819-91-3

RL: USES (Uses)

(deposition of amorphous hydrogenated)

RN 90175-80-7 HCAPLUS

CN Silicon alloy, base, Si 7.3-100,Sn 0-93 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 7.3 - 100 | 7440-21-3 |
| Sn | 0 - 93 | 7440-31-5 |

RN 106806-26-2 HCAPLUS

CN Tin alloy, base, Sn 68, Si 32 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 68 | 7440-31-5 |
| Si | 32 | 7440-21-3 |

RN 113819-90-2 HCAPLUS

CN Tin alloy, base, Sn 62, Si 38 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 62 | 7440-31-5 |
| Si | 38 | 7440-21-3 |

RN 113819-91-3 HCAPLUS

CN Tin alloy, base, Sn 78, Si 22 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 78 | 7440-31-5 |
| Si | 22 | 7440-21-3 |

L106 ANSWER 48 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1987:524723 HCAPLUS Full-text

DN 107:124723

TI Photoreceptors with interference-fringe elimination

IN Honda, Mitsuru; Murai, Keiichi; Ogawa, Kiyosuki; Koike, Atsushi

PA Canon K. K., Japan

SO Jpn. Kokai Tokkyo Koho, 40 pp.

CODEN: JKXXAF

DT Patent

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| PI | JP 62102247 | A | 19870512 | JP 1985-241573 | 19851030 <-- |
| | JP 06090534 | B | 19941114 | | |
| PRAI | JP 1985-241573 | | 19851030 | <-- | |

AB For a photoreceptor consisting of a 1st amorphous layer of Si and Ge and/or Sn, and a 2nd layer of amorphous Si, both containing O, C, and/or N, the substrate (e.g. metal) surface has a number of **spherical** minute depressions in which a number of micro-depressions are formed. The 2nd layer may uniformly contain O, N, and/or C. The 1st layer may consist of a multilayer (e.g., containing a charge inhibition layer and/or a barrier layer) and/or have a conductivity-controlling substance. The surface unevenness of the substrate may satisfy $0.035 \leq D/R \leq 0.5$, $D \leq 0.5$ mm, and $0.5 \leq r \leq 20$ μ m, where D, R, and r are the width and curvature of the **spherical** depressions and the height

of the micro-unevenness, resp., and may be formed by free dropping of rigid **spheres**. Thus, a 1st amorphous hydrogenated-fluorinated Si-Ge layer (a layer containing C and B 3 μm thick and a layer containing C 22 μm thick) and a 2nd amorphous fluorinated-hydrogenated Si layer containing C (0.5 μm thick) were formed on an Al **alloy** cylinder having an uneven surface with D 450 μm , D/R 0.06, and r_{max} 5 μm at 250° by plasma chemical vapor deposition. Interference fringes were eliminated in photoimaging.

IT 71818-44-5

RL: USES (Uses)

(amorphous photoreceptors from, for photoimaging)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

Component Component
Registry Number

=====+=====

Si 7440-21-3

Sn 7440-31-5

L106 ANSWER 49 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1987:506467 HCAPLUS Full-text

DN 107:106467

TI Photoreceptors with interference fringe elimination

IN Honda, Mitsuru; Murai, Keiichi; Ogawa, Kiyosuke; Koike, Atsushi

PA Canon K. K., Japan

SO Jpn. Kokai Tokkyo Koho, 39 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | JP 62100761 | A | 19870511 | JP 1985-241890 | 19851029 <-- |
| | JP 06090535 | B | 19941114 | | |
| PRAI | JP 1985-241890 | | 19851029 | <-- | |

AB A photoreceptor layer consisting of a 1st amorphous layer from Si, and Ge and/or Sn and a 2nd layer from amorphous Si containing C, N, and/or O is formed on a substrate having surface unevenness with **spherical** minute depressions in which a number of micro-depressions are formed. The 1st layer may contain conductivity controlling substance(s) and be a multilayer (e.g., containing charge inhibition or barrier layers). The depressions on the substrate may be given by $0.035 \leq D/R < 0.5$, $D < 0.5 \text{ mm}$, and $0.5 \mu\text{m} \leq \gamma \leq 20 \mu\text{m}$, where D, R, and γ are width and curvature of the depressions and height of micro-unevenness in the **spherical** depressions, resp., and formed by free dropping of rigid **spheres**. A fluorinated-hydrogenated Si-Ge and C-containing Si layer were formed on an Al **alloy** cylinder having surface unevenness 450 μm in D, 0.06 in D/R, and 5 μm in γ_{maximum} . No interference fringes were observed in photoimaging.

IT 71818-44-5

RL: USES (Uses)

(amorphous photoreceptor layers from, for interference fringe-free photoimaging)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si,Sn (CA INDEX NAME)

Component Component
Registry Number

=====+=====

Si 7440-21-3
Sn 7440-31-5

L106 ANSWER 50 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1987:187029 HCAPLUS Full-text

DN 106:187029

TI Recrystallization of semiconductors

IN Oka, Yoshio; Ozawa, Hidekatsu; Kusayanagi, Masao; Kamata, Mikio

PA Sony Corp., Japan

SO Jpn. Tokyo Koho, 5 pp.

CODEN: JAXXAD

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | JP 61061250 | B | 19861224 | JP 1977-137474 | 19771116 <-- |
| | JP 54070764 | A | 19790606 | | |
| PRAI | JP 1977-137474 | A | 19771116 | <-- | |

AB A method for recrystn. of a semiconductor material involves: (a) forming a thin metal film on part of a semiconductor substrate; (b) forming a single-crystal film, with a predetd. plane direction, and of the same material as that of the substrate, on the metal film; (c) heating the substrate to a temperature higher than the eutectic point of the metal and semiconductor so that the metal eutectoid flows to the other side of the substrate for selective recrystn. of the semiconductor material. The method can recrystallize part of the substrate to have any plane direction.

IT **71818-44-5**

RL: PRP (Properties)

(eutectic recrystn. of single-crystal silicon using)

RN 71818-44-5 HCAPLUS

CN Silicon alloy, nonbase, Si, Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|------------------------------|
| =====+ | ===== |
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

L106 ANSWER 51 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1986:489628 HCAPLUS Full-text

DN 105:89628

TI Narrow band gap amorphous silicon semiconductors

IN Madan, Arun; Mahan, A. Harvin

PA USA

SO U. S. Pat. Appl., 9 pp. Avail. NTIS Order No. PAT-APPL-6-690 218.

CODEN: XAXXAV

DT **Patent**

LA English

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| | ----- | ---- | ----- | ----- | ----- |
| PI | US 690218 | A0 | 19860117 | US 1985-690218 | 19850110 <-- |
| PRAI | US 1985-690218 | | 19850110 | <-- | |

AB A narrow band gap amorphous Si semiconductor comprises an alloy of amorphous Si and a band gap narrowing element selected from the group consisting of Sn, Ge, and Pb, with an electron donor dopant selected from the group consisting of P, As, Sb, Bi and N. The process for producing the narrow band gap

amorphous Si semiconductor comprises the steps of forming an **alloy** comprising amorphous Si and ≥ 1 of the band gap narrowing elements in an amount sufficient to narrow the band gap of the Si semiconductor **alloy** below that of amorphous Si, and also utilizing sufficient amts. of the electron donor dopant to maintain the amorphous Si **alloy** as an n-type semiconductor.

IT 91017-73-1

RL: USES (Uses)

(narrow band gap amorphous semiconductors)

RN 91017-73-1 HCAPLUS

CN Silicon alloy, base, Si, Sn (CA INDEX NAME)

| Component | Component | Registry Number |
|-----------|-----------|-----------------|
| Si | 7440-21-3 | |
| Sn | 7440-31-5 | |

L106 ANSWER 52 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1985:588040 HCAPLUS Full-text

DN 103:188040

TI Photoelectric device

PA Fuji Xerox Co., Ltd., Japan

SO Jpn. Kokai Tokkyo Koho, 3 pp.

CODEN: JKXXAF

DT **Patent**

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|---|------|----------|-----------------|--------------|
| PI | JP 60066876 | A | 19850417 | JP 1983-175671 | 19830922 <-- |
| PRAI | JP 1983-175671 | | 19830922 | <-- | |
| AB | A photoelec. device having increased spectral sensitivity in the long-wavelength region consists of an amorphous hydrogenated SixGel-x or SixSn1-x ($0 \leq x < 1$) photoconductor layer sandwiched between a transparent electrode and a metal electrode . The photoelec. device is especially useful for facsimile recording. | | | | |

IT 62795-20-4

RL: DEV (Device component use); USES (Uses)

(photoconductive hydrogenated amorphous layers of, for photoelec. devices)

RN 62795-20-4 HCAPLUS

CN Silicon alloy, base, Si 0-100, Sn 0-100 (CA INDEX NAME)

| Component | Component | Component |
|-----------|-----------|-----------------|
| | Percent | Registry Number |
| Si | 0 - 100 | 7440-21-3 |
| Sn | 0 - 100 | 7440-31-5 |

L106 ANSWER 53 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1985:533486 HCAPLUS Full-text

DN 103:133486

TI A thin ribbon wafer of semiconductor material

IN Tsuya, Noboru; Arai, Kenichi

PA Japan

SO U.S., 31 pp. Cont. of U.S. Ser. No. 375,314, abandoned.

CODEN: USXXAM

DT **Patent**

LA English

FAN.CNT 2

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|----------------|------|----------|-----------------|--------------|
| PI | US 4525223 | A | 19850625 | US 1984-597565 | 19840409 <-- |
| | JP 58019633 | B | 19830419 | JP 1978-114848 | 19780919 <-- |
| | JP 55042248 | A | 19800325 | | |
| | JP 55052218 | A | 19800416 | JP 1978-125485 | 19781012 <-- |
| | US 4682206 | A | 19870721 | US 1985-721675 | 19850410 <-- |
| PRAI | JP 1978-114848 | A | 19780919 | <-- | |
| | JP 1978-125485 | A | 19781012 | <-- | |
| | US 1979-55031 | A1 | 19790706 | <-- | |
| | US 1982-375314 | A1 | 19820505 | <-- | |
| | US 1984-597565 | A3 | 19840409 | <-- | |

AB A novel thin ribbon wafer of semiconductor having a polycryst. structure composed of >50% of a grain having a grain size of >5 μm , a thickness of 5-200 μm , sufficient flexibility to be windable on a pipe having a diameter of 34 mm, malleability, and composed from p-type, i-type or n-type semiconducting material, and the composite clad of ≥ 2 elements thereof so as to form a p-n type junction is described. The composition of said semiconductor material consists of pure Si or Si with addnl. elements for improving the properties of a semiconductor; said addnl. element being at least one element in a proportion of <10 atomic% as compared to said Si, said element selected from the group consisting of non-metallic elements such as H, P, S and O; semi-metallic elements such as B, As, Te, Sr and Se; metallic elements such as Al, Ag, In, Cr, Ag, Fe, and Bi; and mixts. thereof with at least 1 element having smaller solubility limit than that of Si. Semiconductor elements and compds. are also possible additives. A semiconductor thin ribbon wafer is obtained under the polycryst. structure by ejecting a melt through a nozzle and rapidly cooling it on the moving surface of a cooling substrate at a cooling rate of >3000 up to 1,000,000°/s. The wafer is usable as rectifiers, junction elements, varistors, thermistors, memory elements, photoelec. elements, photocells, thermoelec. elements, electronic cooling elements atomic cell elements, etc. The composition and geometry of the nozzle and cooling substrate are noted. A sunlight a.c. generator device having a large surface area can be manufactured very cheaply.

IT 91017-73-1

RL: USES (Uses)

(semiconductor ribbon wafers from silicon containing)

RN 91017-73-1 HCAPLUS

CN Silicon alloy, base, Si,Sn (CA INDEX NAME)

| Component | Component Registry Number |
|-----------|---------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

L106 ANSWER 54 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1984:447380 HCAPLUS Full-text

DN 101:47380

TI Amorphous photoconductors

PA Nippondenso Co., Ltd., Japan

SO Jpn. Kokai Tokkyo Koho, 4 pp.

CODEN: JKXXAF

DT Patent

LA Japanese

FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|--|------|----------|-----------------|--------------|
| PI | JP 59032180 | A | 19840221 | JP 1982-142314 | 19820817 <-- |
| PRAI | JP 1982-142314 | | 19820817 | <-- | |
| AB | Highly sensitive amorphous photoconductors are obtained by forming alloys of Si with Ge, Pb, or Sn containing H or F as dangling-bond terminators. For Sn, the atomic% ratio of Sn to Si is 0.01-40% and the H or F atomic% ratio is 0.25-1%. | | | | |
| IT | 91017-73-1 | | | | |
| | RL: USES (Uses) | | | | |
| | (amorphous photoconductor from hydrogenated) | | | | |
| RN | 91017-73-1 HCAPLUS | | | | |
| CN | Silicon alloy, base, Si,Sn (CA INDEX NAME) | | | | |

| Component | Component Registry Number |
|-----------|---------------------------|
| Si | 7440-21-3 |
| Sn | 7440-31-5 |

L106 ANSWER 55 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN
 AN 1984:431997 HCAPLUS Full-text
 DN 101:31997
 TI Photoelectric cells
 PA Semiconductor Energy Research Institute Co., Ltd., Japan
 SO Jpn. Kokai Tokkyo Koho, 7 pp.
 CODEN: JKXXAF
 DT **Patent**
 LA Japanese
 FAN.CNT 1

| | PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|------|--|------|----------|-----------------|--------------|
| PI | JP 59032181 | A | 19840221 | JP 1982-142288 | 19820816 <-- |
| PRAI | JP 1982-142288 | | 19820816 | <-- | |
| AB | Photoelec. cells with high withstand potentials and visual sensitivity are prepared by coating p-Si with SiO ₂ , opening windows, depositing amorphous hydrogenated and/or halogenated Si, depositing a similar layer of n-(Si, (Ge), n-(Si,Sn), or n-(Si,Pb), depositing a transparent conductor, opening windows, and forming contacts. | | | | |
| IT | 62795-20-4 | | | | |
| | RL: USES (Uses) | | | | |
| | (photoelec. cells from amorphous) | | | | |
| RN | 62795-20-4 HCAPLUS | | | | |
| CN | Silicon alloy, base, Si 0-100,Sn 0-100 (CA INDEX NAME) | | | | |

| Component | Component Percent | Component Registry Number |
|-----------|-------------------|---------------------------|
| Si | 0 - 100 | 7440-21-3 |
| Sn | 0 - 100 | 7440-31-5 |

L106 ANSWER 56 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN
 AN 1982:114238 HCAPLUS Full-text
 DN 96:114238
 TI New amorphous **alloy** semiconductors: amorphous silicon-tin (Si_{1-x}Sn_x)
 AU Verie, C.; Rochette, J. F.; Rebouillat, J. P.
 CS Lab. Phys. Solide, CNRS-Valbonne, Valbonne, 06560, Fr.

SO Journal de Physique, Colloque (1981), (C4, Pt. 2), 667-9
 CODEN: JPQCAK; ISSN: 0449-1947
 DT Journal
 LA English
 AB New amorphous Si_{1-x}Sn_x alloys were prepared by using a d.c. cathodic sputtering technique for 0 < x < 0.12. Routine characterization measurements were performed. Both the average and optical gaps decrease with increasing Sn content, the latter extrapolating to 0 at x .apprx.0.5. The high sensitivity of amorphous Si electronic structure to Sn substitution is discussed in the framework of the tight-binding approach, stressing the importance of the atomic relativistic corrections.
 IT 80965-86-2
 RL: PRP (Properties)
 (amorphous semiconductors, elec. and optical properties of)
 RN 80965-86-2 HCAPLUS
 CN Silicon alloy, base, Si 63-100, Sn 0-37 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Si | 63 - 100 | 7440-21-3 |
| Sn | 0 - 37 | 7440-31-5 |

L106 ANSWER 57 OF 57 HCAPLUS COPYRIGHT 2007 ACS on STN

AN 1976:183720 HCAPLUS Full-text

DN 84:183720

TI Role of alloy valence on electromigration in thin tin alloy films

AU Ohring, M.; Singh, P.

CS Dep. Metall., Stevens Inst. Technol., Hoboken, NJ, USA

SO Thin Solid Films (1976), 31(3), 253-64

CODEN: THSFAP; ISSN: 0040-6090

DT Journal

LA English

AB Electrotransport effects in Sn film conductors alloyed with Group IIIA, IVA, and VA elements were studied. The electromigration damage was valence dependent. In the films containing Ga, In, and Tl, voids nucleated at the cathode interface separated the Sn and dilute alloy regions. Conversely, voids nucleated at the anode interface in the alloys containing As, Sb, and Bi. An anal. of electromigration indicated that the ratio of the vacancy flux in the alloy region to that in the unalloyed region depended on the sign of the solvent diffusivity enhancement factor. An electrostatic model for solvent diffusion predicted that the sign of the factor differed in Group IIIA and VA element-containing alloys. Under certain assumptions, agreement between theory and observation was attained.

IT 59392-42-6

RL: USES (Uses)

(electrodifffusion in film conductors of, valence effect on)

RN 59392-42-6 HCAPLUS

CN Tin alloy, base, Sn 99, Si 1.2 (9CI) (CA INDEX NAME)

| Component | Component Percent | Component Registry Number |
|-----------|----------------------|------------------------------|
| Sn | 99 | 7440-31-5 |
| Si | 1.2 | 7440-21-3 |

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(FILE 'HOME' ENTERED AT 13:16:19 ON 30 MAY 2007)
SET COST OFF

FILE 'HCAPLUS' ENTERED AT 13:16:31 ON 30 MAY 2007

L1 1 S US20040191630/PN OR (US2004-808481# OR JP2003-096988)/AP,PRN
E KAWAMURA/AU
L2 3 S E3
E KAWAMURA N/AU
L3 458 S E3,E8,E25,E26
E NAOYA/AU
L4 1 S E3
E KAWAKAMI/AU
L5 4 S E3
E KAWAKAMI S/AU
L6 231 S E3,E4
L7 121 S E99
E KAWAKAMI NAME/AU
L8 14 S E4
E SOICHIRO/AU
L9 1 S E3
L10 830 S L2-L9
L11 1 S L1 AND L10
L12 829 S L10 NOT L11
SEL RN L11

FILE 'REGISTRY' ENTERED AT 13:19:23 ON 30 MAY 2007

L13 8 S E1-E8
L14 1 S C2H4O AND L13
L15 2 S L13 AND (SI AND SN)/ELS
L16 21914 S (7440-21-3/CRN OR SI/ELS OR (?SILIC? OR ?SILYL? OR ?SILAN? OR
L17 852 S L16 AND TIS/CI
L18 9238 S L16 NOT L***,L17
L19 12676 S L***,L17
L20 135 S L19 AND 2/ELC.SUB
L21 12541 S L19 NOT L20

FILE 'HCAPLUS' ENTERED AT 13:22:46 ON 30 MAY 2007

L22 747 S L15 OR L20
L23 18414 S L21
L24 430 S L22 AND PY<=2003 NOT P/DT
L25 179 S L22 AND (PD<=20030331 OR PRD<=20030331 OR AD<=20030331) AND P
L26 609 S L24,L25
L27 11350 S L23 AND PY<=2003 NOT P/DT
L28 5295 S L23 AND (PD<=20030331 OR PRD<=20030331 OR AD<=20030331) AND P
L29 16645 S L27,L28
L30 21 S L26 AND H01M/IPC,IC,ICM,ICS
L31 165 S L29 AND H01M/IPC,IC,ICM,ICS
E BATTERY/CT
L32 59558 S E4+OLD,NT OR E5+OLD,NT OR E6+OLD,NT OR E7 OR E8+OLD,NT
E E9+ALL
L33 9182 S E2+OLD,NT OR E3+OLD,NT OR E4+OLD,NT
E BATTERIES/CT
E E3+ALL
L34 124841 S E1 OR E2+OLD,NT OR E3+OLD,NT OR E4+OLD,NT OR E5+OLD,NT
L35 26 S L26 AND L32-L34
L36 172 S L29 AND L32-L34
E ELECTRODE/CT
L37 4 S E3

L38 112113 S E91-E203
 E E91+ALL
 L39 227143 S E3+NT
 L40 8995 S E43+OLD, NT OR E44+OLD, NT
 L41 485924 S E40+OLD, NT OR E41+OLD, NT
 L42 53 S L26 AND L37-L41
 L43 573 S L29 AND L37-L41
 L44 54 S L30, L35, L42
 L45 596 S L31, L36, L43
 L46 5 S L10 AND L22
 L47 7 S L10 AND L23
 L48 7 S L46, L47
 L49 4 S L48 AND L26
 L50 5 S L48 AND L29
 L51 5 S L49, L50
 L52 5 S L51 AND L1-L12, L22-L51
 L53 2 S L48 NOT L52
 L54 7 S L52, L53
 L55 6 S L54 AND ?PARTICLE?
 L56 3 S L54 AND (L14 OR PVA OR (POLYVINYL OR POLY VINYL) () ALCOHOL OR
 L57 7 S L54-L56
 L58 18 S L44 AND ?PARTICLE?
 L59 2 S L44 AND ?SPHER?
 L60 2 S L44 AND ?POWD?
 E PARTICLE/CT
 E E39+ALL
 L61 3656 S E1
 E E4+ALL
 L62 136569 S E1, E326, E327, E328, E329
 E E342+ALL
 L63 103654 S E3, E9, E10
 E PARTICLE/CT
 L64 98890 S E40-E44 OR E44+OLD, NT
 L65 61291 S E61-E82
 L66 2 S L44 AND L61-L65
 L67 18 S L58, L66
 L68 6 S L45 AND (L14 OR PVA OR (POLYVINYL OR POLY VINYL) (W) ALCOHOL OR
 L69 56 S L45 AND ?PARTICLE?
 L70 10 S L45 AND ?SPHER?
 L71 45 S L45 AND ?POWD?
 L72 9 S L45 AND L61-L65
 L73 21 S L57, L67
 L74 13 S L73 AND ELECTROD?
 L75 3 S L73 AND CATHOD?
 L76 15 S L73 AND ANOD?
 L77 20 S L73 AND (BATTER? OR FUEL CELL)
 L78 1 S L73 NOT L74-L77
 L79 20 S L74-L77
 L80 101 S L68-L72
 L81 33 S L80 AND ELECTROD?
 L82 23 S L80 AND CATHOD?
 L83 42 S L80 AND ANOD?
 L84 38 S L80 AND (BATTER? OR FUEL CELL)
 L85 57 S L81-L84
 L86 44 S L85 AND ELECTR?/SC, SX
 L87 13 S L85 NOT L86
 SEL AN L86 10 12 41 42 43 44
 L88 38 S L86 NOT E1-E12
 L89 44 S L80 NOT L85
 SEL AN 5 13 24 25

L90 4 S L89 AND E13-E20
L91 42 S L88,L90
L92 9 S L79 AND ?ALLOY?
L93 13 S L91 AND ?ALLOY?
L94 16 S L92,L93
L95 57 S L44,L57
L96 24 S L95 AND H01M/IPC, IC, ICM, ICS
L97 54 S L95 AND ELECTR?/SC, SX
L98 57 S L95 AND L32-L34,L37-L41
L99 3 S L95 AND (L14 OR PVA OR (POLYVINYL OR POLY VINYL) (W)ALCOHOL OR
L100 20 S L95 AND ?PARTICL?
L101 39 S L95 AND (SPHER? OR ?POWD? OR ELECTROD? OR CATHOD? OR ANOD? OR
L102 57 S L95-L101
L103 36 S L102 AND ?ALLOY?
L104 21 S L103 NOT BATTERY
L105 21 S L102 NOT L103,L104
L106 57 S L102-L105

FILE 'HCAPLUS' ENTERED AT 13:58:39 ON 30 MAY 2007

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